

Spin TQFTs and fermionic phases of matter

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We study lattice constructions of gapped fermionic phases of matter. We show that the construction of fermionic Symmetry Protected Topological orders by Gu and Wen has a hidden dependence on a discrete spin structure on the Euclidean space-time. The spin structure is needed to resolve ambiguities which are otherwise present. An identical ambiguity is shown to arise in the fermionic analog of the string-net construction of 2D topological orders. We argue that the need for a spin structure is a general feature of lattice models with local fermionic degrees of freedom and is a lattice analog of the spin-statistics relation.

1. Introduction and Summary

1.1. *Bosonic and fermionic gapped phases*

In condensed matter physics, topological phases of matter are often defined as equivalence classes of local gapped bosonic Hamiltonians, usually defined on a lattice, which can be deformed into each other without ever becoming gapless.^{1,2} The notion of topological phase can be enriched by imposing additional constraints on the theories, such as a choice of global symmetry preserved by all the Hamiltonians. On the other hand, topological quantum field theories^a can be thought of as describing the far infrared behavior of gapped bosonic quantum field theories (see, e.g., Section 4 of Ref. 3 or the monograph⁴).

There is a close relation between topological phases of matter and topological quantum field theories, which can be thought of as a map from a topological phase

^aThis is a somewhat looser notion of TQFT compared to some formal definitions. For example, we consider Chern-Simons theory to be a TQFT, even though it has a partition function which depends on a choice of metric on space-time. In other words, we allow the stress tensor to be non-zero, but proportional to the identity operator.

of matter to the TQFT which encodes the low energy continuum limit of the corresponding Hamiltonian. In principle, one may imagine the map being many-to-one: it is not obvious that two local gapped Hamiltonians which map to the same TQFT will always be deformable into each other. Still, in practice we do not know of any observable which can distinguish two phases of matter, but cannot be formulated in terms of the TQFT data.^b

In condensed matter physics, one also encounters the notion of a fermionic topological phase of matter, defined as an equivalence class of local gapped Hamiltonians which can involve fermionic degrees of freedom.^{5,6} Perhaps surprisingly, some fermionic phases of matter are not expected to admit a purely bosonic realization. This is expected to be due to the difference in the notion of locality for bosonic and fermionic systems. Intuitively, if we partition a bosonic system in two parts, the total Hilbert space factors uniquely in the tensor product of the Hilbert spaces for the two parts. If we partition a fermionic system, though, the factorization has an intrinsic ambiguity, as observables in the tensor product of the Hilbert spaces for the two parts are defined up to a sign in the sector where both factors have odd fermion number.

1.2. Spin structure dependence

In unitary quantum field theory, fermions are naturally spinors and thus the low energy physics of a gapped fermionic theory is a spin-TQFT: a topological field theory defined on manifolds which can be equipped with a spin structure, whose correlation functions possibly depend on the choice of spin structure. The purpose of this paper is to explore the relation between fermionic topological phases of matter and spin-TQFTs. It is not obvious that such a relation should exist, as a lattice Hamiltonian involving fermionic degrees of freedom is usually written down without any reference to a spin structure on the manifold which is discretized by the lattice. One also cannot appeal to the spin-statistics relation, because the lattice destroys Lorentz and even rotational invariance which are the conditions of the spin-statistics theorem.^c

The first step of our analysis is to look carefully at the fermionic SPT phases constructed by Gu and Wen in Ref. 7. We find that the prescription used to define the partition function of such theories runs into an obstruction if applied to

^bIt is also conceivable, perhaps, that some topological phase of matter may not give rise to a TQFT at low energy, i.e., that some anomaly/obstruction may prevent the definition of TQFT amplitudes on general manifolds in terms of the Hamiltonian data. But in all cases known to us one circumvent such obstructions by postulating that the TQFT depends on some additional geometric data, such as metric or framing.

^cTaking the continuum limit and then applying the spin-statistics relation does not ameliorate the problem. The TQFT itself is, of course, Lorentz invariant, but the spin-statistics relation is a property of Lorentz invariant particle excitations. The continuum limit from the lattice theory to the low-energy TQFT only concerns the ground states of the system. A priori, massive excitations above these ground states do not need to transform properly under the Lorentz group.

space-time manifolds of general topology, unless the second Stiefel–Whitney class $[w_2]$ of the manifolds vanishes, i.e., the manifold admits a spin structure. If the manifold admits a spin structure, the obstruction can be eliminated, but the final answer will depend on the choice of spin structure η . In other words, these fermionic SPT phases define (invertible) spin-TQFTs.

Next, we look at other known constructions of fermionic phases of matter which are expected to admit a state-sum-like definition of their partition function: the construction of fermionic toric code in Ref. 8 and the general fermionic Turaev-Viro construction in Ref. 9. These references focus on the construction of a fixed-point Hamiltonian and wave-function for these fermionic phases of matter, rather than a partition function. It is straightforward, though, to assemble the same ingredients into a partition sum, borrowing some ideas from the Gu–Wen fermionic SPT phase construction. Again, we find an obstruction to define the partition sum unless the space-time manifold admits a spin structure, in which case one can remove the obstruction and define a well defined partition function which depends on the choice of spin structure η . Thus these fermionic phases of matter are associated to spin-TQFTs.

We can describe the obstruction schematically here, referring the reader to Secs. 2 and 6 for further details. State-sum models assemble the partition function from a triangulation of the space-time manifold X : each simplex is associated to some tensor in the tensor product of vector spaces associated to the faces and the legs of these tensors are contracted together as the simplices are glued along the corresponding faces of the triangulation. In a fermionic model, the vector spaces may be Grassmann-odd and Koszul signs occur when re-organizing and contracting the factors of the tensor products.

These Koszul signs, arising from the anti-commutation of fermionic variables, are of course a key element of the problem. The non-local nature of these signs is precisely what should allow these fermionic phases of matter to be distinct from any bosonic phase. In order for the partition sum to be invariant under local changes in the triangulation of the manifold, one needs to cancel the change in the Koszul signs against the change in the local data attached to the simplices. The obstruction arises precisely when this cancellation is not possible.

We can express the obstruction neatly by encoding the fermion number of the vector spaces attached to faces in a \mathbb{Z}_2 -valued $(d-1)$ -cochain β_{d-1} . The cochain β_{d-1} is actually a cocycle, as the total fermion number of the tensors attached to simplices is even. It is useful to decompose the partition sum into a sum of terms $Z[X, \beta_{d-1}]$, which contain the parts of the state sum due to states of fermion number β_{d-1} .

We can encode a general change of triangulation of X into a triangulation of the $(d+1)$ -dimensional manifold $X \times [0, 1]$. Intuitively, we are gluing a sequence of $(d+1)$ -dimensional simplices on top of our initial triangulation to get the final triangulation. We find that the triangulation invariance of the partition function is obstructed by some irreducible sign mismatch, which can be written

schematically as

$$(-1)^{\int_{X \times [0,1]} w_2 \cup \beta_{d-1}}. \quad (1)$$

Here w_2 is a 2-cocycle with values in \mathbb{Z}_2 representing the second Stiefel–Whitney class of $X \times [0, 1]$ and β_{d-1} is a lift to $X \times [0, 1]$ of the cocycle β_{d-1} . If the cohomology class of $[w_2]$ is non-trivial and the theory involves choices of fermion numbers β_{d-1} which are non-trivial in cohomology, this sign mismatch cannot be absorbed by a redefinition of the local part of the partition function. This prevents us from constructing a well-defined partition sum and ruins the state-sum construction.

If we restrict X to be a spin manifold then $w_2(X)$ is exact and we can write $w_2 = \delta\eta$ for some 1-cochain η , which represents a choice of spin structure. This allows us to thus cancel the obstruction (1) by the variation of a local term,

$$(-1)^{\int_X \eta \cup \beta_{d-1}}, \quad (2)$$

so that the improved state sum,

$$Z[X, \eta] = \sum_{\beta_{d-1}} Z[X, \beta_{d-1}] (-1)^{\int_X \eta \cup \beta_{d-1}}, \quad (3)$$

is fully invariant under changes of triangulations and defines a good theory. This theory is a spin-TQFT: it can only be defined on a spin manifold and depends on a choice of spin structure.

In Secs. 3 and 4 we will look in further detail at the properties of the Koszul signs which occur in the state sum. The definition the partition function requires specific choices of how to order the factors in the tensor product associated to each simplex, and the two factors in the contraction of vector spaces at each face. Given some ordering choices Π , the permutations of the vector spaces involved in the state sum will produce some overall Koszul sign $\sigma_\Pi(X, \beta_{d-1})$, which depends only on the triangulation, on Π and on β_{d-1} .

The choice of order Π can be given independently of the other data in the state sum. The combined sign,

$$z_\Pi[X, \eta, \beta_{d-1}] = \sigma_\Pi(X, \beta_{d-1}) (-1)^{\int_X \eta \cup \beta_{d-1}}, \quad (4)$$

appears to be a very useful object, which captures the intrinsically fermionic part of the full partition function. From now on we will drop the subscript Π . Our formulae will refer to the specific choice of order used in the Gu–Wen definition of fermionic SPT phases.⁷ We will comment briefly on other choices of order in Sec. 3.

We can think about $z[X, \eta, \beta_{d-1}]$ as defining an effective action for a $(d-1)$ -form \mathbb{Z}_2 gauge field with a very specific anomaly, or a very simple invertible spin-TQFT K_d equipped with an anomalous $(d-2)$ -form \mathbb{Z}_2 global symmetry.

Under changes of triangulation, $z[X, \eta, \beta_{d-1}]$ changes by another interesting cocycle, the Steenrod square of β_{d-1} :

$$(-1)^{\int_{X \times [0,1]} Sq^2[\beta_{d-1}]} \equiv (-1)^{\int_{X \times [0,1]} \beta_{d-1} \cup_{d-3} \beta_{d-1}}. \quad (5)$$

We refer to [Appendix B](#) for the explicit definition of the higher cup products \cup_a . Their basic property is

$$A \cup_a B + B \cup_a A = \delta(A \cup_{a+1} B) + \delta A \cup_{a+1} B + A \cup_{a+1} \delta B, \quad (6)$$

with $\cup_0 \equiv \cup$.

Under gauge transformations, we find the precise form of the 't Hooft anomaly, $z[X, \eta, \beta_{d-1} + \delta\lambda_{d-2}] = z[X, \eta, \beta_{d-1}](-1)^{\int_X \beta \cup_{d-3} \lambda + \lambda \cup_{d-3} \beta + \lambda \cup_{d-3} \delta\lambda + \lambda \cup_{d-4} \lambda}$. (7)

Although $z[X, \eta, \beta_{d-1}]$ does not appear to admit a d -dimensional bosonic description, we also find that it is a quadratic refinement of a bosonic pairing:

$$z[X, \eta, \beta_{d-1} + \beta'_{d-1}] = z[X, \eta, \beta_{d-1}] z[X, \eta, \beta'_{d-1}] (-1)^{\int_X \beta_{d-1} \cup_{d-2} \beta'_{d-1}}. \quad (8)$$

Finally, if X is a boundary of a compact oriented $(d+1)$ -manifold Y and $d > 2$, we find an explicit WZW-like expression for $z[X, \eta, \beta_{d-1}]$:

$$z[X, \eta, \beta_{d-1}] = (-1)^{\int_X \eta \cup \beta_{d-1} + \int_Y Sq^2[\beta_{d-1}] + w_2 \cup \beta_{d-1}}. \quad (9)$$

Here we use the fact that for $d > 2$ the cocycle β_{d-1} can be extended to Y . The action is independent of the choice of Y or of the way β_{d-1} is extended from X to Y because the expression $Sq^2[\beta_{d-1}] + w_2 \cup \beta_{d-1}$ is exact for closed oriented Y . This formula is particularly useful for $d = 3$, since any closed oriented 3-manifold X is a boundary of a compact oriented 4-manifold Y .

With a bit of extra work, we can rewrite the partition function $Z[X, \eta]$ of our spin-TQFT as the partition function of a $(d-1)$ -form \mathbb{Z}_2 gauge theory,

$$Z[X, \eta] = \sum_{\beta_{d-1}} \tilde{Z}[X, \beta_{d-1}] z[X, \eta, \beta_{d-1}], \quad (10)$$

where the gauge fields are coupled to two sets of degrees of freedom: a standard bosonic TQFT equipped with a $(d-2)$ -form \mathbb{Z}_2 global symmetry and partition function $\tilde{Z}[X, \beta_{d-1}]$, and the spin-TQFT K_d . The bosonic theory associated to $\tilde{Z}[X, \beta_{d-1}]$ must have a 't Hooft anomaly which cancels the 't Hooft anomaly of K_d , controlled by $Sq^2[\beta_{d-1}]$.

In order to make contact with concepts which are more familiar in condensed matter physics, it is useful to replace the notion of a TQFT with an anomalous global symmetry with the notion of a gapped boundary condition for a $(d+1)$ -dimensional SPT phase, protected by a $(d-2)$ -form \mathbb{Z}_2 global symmetry, with partition function

$$(-1)^{\int_Y Sq^2[\beta_{d-1}]}. \quad (11)$$

Then $\tilde{Z}[X, \beta_{d-1}]$ defines a bosonic gapped boundary condition for the $(d+1)$ -dimensional SPT phase, while $z[X, \eta, \beta_{d-1}]$ defines a fermionic gapped boundary condition. The original spin TQFT can be recovered by gauging the $(d-2)$ -form \mathbb{Z}_2 global symmetry on a slab, with one of these boundary conditions at either end.

In [Sec. 7](#) we will argue that this construction has a close relation to the notion of fermionic anyon condensation. The generators of a non-anomalous $(d-2)$ -form

global symmetry G are loop observables which can be thought as worldlines of bosonic quasi-particles which fuse accordingly to the group law of G . Gauging the $(d-2)$ -form symmetry is equivalent to proliferating these quasi-particles in correlation functions and should correspond to the standard notion of anyon condensation, at least in three space-time dimensions.

We will argue that the generators of a $(d-2)$ -form \mathbb{Z}_2 global symmetry with the 't Hooft anomaly described above, instead, behave as fermionic quasi-particles. The challenge to define a fermionic analogue of the standard anyon condensation is mapped into the problem of gauging such anomalous symmetry. The kernel spin-TQFT K_d offers a solution to the problem: given some TQFT with fermionic quasi-particles we want to condense, we can tensor it with K_d to cancel the anomaly and gauge the $(d-2)$ -form \mathbb{Z}_2 global symmetry. Essentially, the kernel spin-TQFT K_d uses the spin structure information to provide some extra signs which make the “fermionic” anyon condensation meaningful. This approach to fermionic anyon condensation appears to be closely related to work in progress by K. Walker.²⁵

State-sum constructions of spin-TQFTs in two dimensions have been recently discussed by other authors.^{26,27} It would be interesting to establish the precise connection between all these constructions.

Finally, using the notion of fermionic anyon condensation we sketch a rough argument demonstrating how one could potentially “simulate” a generic fermionic lattice Hamiltonian in $2+1$ dimensions given a copy of K_3 and a sufficiently rich bosonic system. This argument supports the idea that K_d may fully capture the non-local properties of a generic fermionic system.

1.3. Conclusions and future directions

Although we have demonstrated the link between fermionic phases of matter and spin-TQFTs only in a restricted set of examples, we believe that the relation will hold in greater generality. There are two natural ways one may try to extend our results

- It should be possible to adapt our analysis to the general mathematical framework of extended topological field theory. The analogue of a fermionic phase of matter should be an extended topological field theory such that the vector spaces attached to $(d-1)$ -dimensional manifolds have Grassmann grading and the tensor products are twisted by the Koszul sign rule. The same sign combinatorics as in the state sum model should lead to an obstruction proportional to $[w_2]$. Thus we expect one could prove a theorem relating “fermionic” extended topological theories and extended spin-TQFTs.
- It should be possible to give a purely Hamiltonian version of our analysis. The fermionic SPT phase K_d associated to the $z[X, \eta, \beta_{d-1}]$ partition function should give us a recipe to build a one-dimensional Hilbert space from a given cocycle β_{d-1} , which could be described as a choice of sign on local patches of the space manifold. Hopefully, this recipe will capture the same sign ambiguities as one

encounters in the construction of a general fermionic Hilbert space as a tensor product of local fermionic Hilbert spaces associated to local patches of the space manifold. Ideally, this would show in full generality, without reference to TQFTs, that any fermionic phase of matter can be obtained by combining the fermionic SPT phase K_d with some appropriate bosonic degrees of freedom and that fermionic phases of matter should generally require the existence of a spin structure on space.

2. Fermionic SPT Phases and the Gu–Wen Grassmann Integral

2.1. The Gu–Wen construction

The standard discrete action for a bosonic SPT phase protected by some symmetry group G is built from a $U(1)$ -valued d -cocycle $\nu_d^b(g_0, \dots, g_d)$ on BG, i.e., a function of $(d+1)$ G -valued variables, invariant under the action of G on itself,

$$\nu_d^b(gg_0, \dots, gg_d) = \nu_d^b(g_0, \dots, g_d), \quad (12)$$

and closed under the action of an appropriate differential δ .

Concretely, given a triangulation of a d -dimensional manifold X with a flat G connection, one evaluates the action as a product over all d -dimensional simplices of ν_d^\pm evaluated on a local trivialization of the connection. The G -symmetry of the cocycle makes the answer independent of the local trivialization and the cocycle condition $\delta\nu_d^b = 1$ insures invariance under changes of triangulation. Essentially, the ratio between the actions for two triangulations which differ by an elementary move equals the action for the boundary of a $(d+1)$ -simplex, which by definition is the same as $\delta\nu_d^b$. More generally, two triangulations can be related by a sequence of moves which can be visualized as a triangulation of a cobordism $X \times [0, 1]$ from the manifold X to itself.

The Gu–Wen construction of a discrete partition function for fermionic SPT phases⁷ involves two basic pieces of input: a $U(1)$ -valued d -cochain $\nu(g_0, \dots, g_d)$ on BG and a \mathbb{Z}_2 -valued $(d-1)$ -cocycle $n_{d-1}(g_0, \dots, g_{d-1})$, such that

$$\delta\nu_d = (-1)^{Sq^2[n_{d-1}]} . \quad (13)$$

In other words, ν_d satisfies the cocycle condition up to signs, which are determined from n_{d-2} through the Steenrod square operation.

The Gu–Wen partition function can be decomposed into the product of three terms, which are not separately invariant under changes of triangulation. The first term, which we could denote as Z_ν , or $Z_\nu[T]$ if we want to indicate the specific choice of triangulation T of the space-time manifold X , is simply the product over all d -dimensional simplices of $\nu_d^{\pm 1}$, just as for a bosonic SPT phase. Because ν_d is not a cocycle, the sign of this term will jump under re-triangulation by the Steenrod square of n_{d-1} integrated over the cobordism from X to itself:

$$Z_\nu[T] = Z_\nu[T'] \exp i\pi \int_{X \times [0,1]} Sq^2[n_{d-1}] . \quad (14)$$

The second term, which we could denote as Z_θ or $Z_\theta[T]$, contains the “fermionic” degrees of freedom. It is a sign, defined by a Grassmann integral whose structure is determined by n_{d-1} . Schematically, one associates a pair of Grassmann odd variables to the two sides of each $(d-1)$ -simplex such that n_{d-1} is 1. The integrand is built as a product over d -simplices of the Grassmann variables associated to that simplex. We do not expect to be able to write Z_θ as a standard bosonic action, i.e., the integral of the pull-back of some class on BG to the manifold: if we could do that, we would have reduced the system to a bosonic SPT phase. The Grassmann integral Z_θ only depends on the group variables through the image β_{d-1} of the cochain n_{d-1} computed on the faces of the triangulation, which is a standard \mathbb{Z}_2 cocycle. We will see later in Sec. 6.2 that the Grassmann integral Z_θ coincides with the function $\sigma_\Pi(X, \beta_{d-1})$ described in the introduction.

The third term, which we could denote as Z_m or $Z_m[T]$, is somewhat problematic: it is written in terms of a function $m_{d-2}(g_0, \dots, g_{d-2})$ which is not G invariant, but satisfies $\delta m_{d-2} = n_{d-1}$. The expression for Z_m involves a product of $(-1)^{m_{d-2}}$ evaluated over a certain subset S of $(d-2)$ -simplices in T defined in Ref. 7 by some local rule:

$$Z_m[T] = \prod_{s \in S} (-1)^{m_{d-2}(s)}. \tag{15}$$

We review the precise definition of S for $d = 2, 3, 4$ in Sec. 2.2.

The product is invariant under re-definitions of m_{d-2} , but the lack of G -invariance of m_{d-2} makes it problematic to define the model on a manifold with a non-trivial G -bundle. The wavefunctions built from this model have some m_{d-2} dependence which is stripped off by hand by the authors of Ref. 7 in a non-canonical way, opening the possibility for subtle sign changes and ambiguities in the corresponding TQFT. Indeed, we will argue here that removing the m_{d-2} dependence introduces naturally a dependence on a choice of spin structure on the manifold, so that the Gu–Wen fermionic SPT phases are a class of invertible spin-TQFTs.

In order to remove the spurious m_{d-2} dependence, we can imagine replacing the collection S of $(d-2)$ -simplices used in Z_m with a collection of $(d-1)$ simplices E such that $\partial E = S$, so that the product over S of $(-1)^{m_{d-2}}$ in Z_m can be reorganized to a product Z_n^E over E of $(-1)^{n_{d-1}}$:

$$Z_n^E[T] = \prod_{e \in E} (-1)^{n_{d-1}(e)}. \tag{16}$$

Clearly, this will be only possible if S is exact, and then the result will depend on the choice of E : any two different choices of E differ by a cycle, which will have in general a non-zero pairing with n_{d-1} , and thus will give inequivalent partition functions. For a solid torus, this integration by parts should mimic the way the authors of Ref. 7 strip off the m_{d-2} dependence of wavefunctions.

At this stage, we have shown that we can construct an improved, well-defined partition function if the homology class of S vanishes, and that the improved

partition function depends on a choice of trivialization E of S . Although the definition of S depends on the choice of triangulation, invariance of the partition function under changes of triangulation suggests that the homology class of S should capture some intrinsic triangulation-independent property of the underlying space-time manifold X .

We propose that the homology class of S captures precisely the second Stiefel–Whitney class $[w_2]$, which vanishes on spin manifolds. We also propose that S itself provides a canonical chain representative for w_2 , so that the choice of E actually encodes a choice of a spin structure on the manifold.

The proposal implies that the partition function can only be defined on a spin manifold, and depends on a choice of spin structure. The combination of signs $Z_\theta Z_n^E$ will coincide with the function $z[X, \eta, \beta_{d-1}]$ defined in the introduction. Conversely, if the cohomology class of w_2 is non-trivial, the partition sum will have an unavoidable dependence on re-definitions of m_{d-2} which map to a non-trivial $(d-2)$ cohomology class on the manifold.

We can offer two strong checks of our proposal: a general consistency check we describe momentarily, and a direct calculation for a special class of triangulations, which are obtained by refining a generic triangulation by a barycentric subdivision. These triangulations are endowed with a canonical representative chain for w_2 , which turns out to coincide with S for $d = 2, 3, 4$.

The first consistency check follows from the observation that a change of Z_m under a change of triangulation is a linear expression $\sum_{s \in V} m_{d-2}[s]$, a sum of m evaluated on some collection V of $(d-2)$ -simplices of the cobordism $Y = X \times [0, 1]$ from X to itself. The change of Z_m should only depend on n_{d-1} , as it is cancelled by the change in Z_ν and Z_θ . It should thus be possible to write it as a linear expression $\sum_{s \in W} n_{d-1}[s]$, a sum of n_{d-1} evaluated on some collection W of $(d-1)$ simplices of Y such that $\partial W = V$.

The linear function defined by W must be such that the sum,

$$\sum_{s \in W} n_{d-1}[s] + \int_Y Sq^2[n_{d-1}], \quad (17)$$

of the variations of Z_m and Z_ν can be cancelled by the variation of Z_θ .

There is a natural candidate for such a linear function: the integral of $w_2 \cup n_{d-1}$ over Y , where w_2 is some specific cochain which represents the second Stiefel–Whitney class. A neat property of w_2 is that $Sq^2[x] + w_2 \cup x$ is exact for any $(d-1)$ -cocycle x and thus can be integrated by parts and plausibly cancelled by the variation of Z_θ . If we write $n_{d-1} = \delta m_{d-2}$ and thus $w_2 \cup n_{d-1} = \delta(w_2 \cup m_{d-2})$, we find that S must be a representative for w_2 on X .

We can give a direct proof that S is a representative for w_2 for a special class of triangulations BT , which are a barycentric subdivision of some rougher triangulation T . Each d -dimensional simplex s of T is subdivided in $(d+1)!$ simplices s_σ whose vertices are the barycenters of subsets of the vertices of s . More precisely, given a permutation σ of the vertices of s , the i -th vertex of s_σ is the barycenter

of the vertices $\sigma(0), \dots, \sigma(i)$. Thus the vertices of s_σ have a natural order, starting from a vertex of s and ending with the barycenter of s .

In the Gu–Wen construction, the triangulation is endowed with a branching structure, i.e., an orientation of the edges with no closed loops, which in turn provides a order to the vertices of simplices: the vertex with all outgoing edges is number “0”, the vertex with a single incoming edge is number “1”, etcetera.

If we have a barycentric triangulation, we can simply order the 1-simplices from the barycenter of fewer vertices to the barycenter of more vertices. That gives a useful canonical choice of branching structure on BT , which induces the same order of the vertices of simplices as the natural one of the barycentric subdivision. This branching structure has the useful property that each vertex of BT has the same position in the order of vertices in all simplices which include that vertex: vertices of T are always at position “0” in the order, midpoint of segments in T are always at position “1”, etcetera.

The barycentric subdivision BT has an important property: the set of all $(d-2)$ -simplices provides a chain which is a canonical representative for w_2 . The collection S of $(d-2)$ simplices used in Ref. 7 to define Z_m is described as the sum over all $(d-2)$ -simplices, plus a correction term given explicitly in dimensions $d = 2, 3, 4$. We will now show by direct inspection that the extra correction term vanishes for a barycentric triangulation BT , so that S is precisely the canonical representative for w_2 !

2.2. Barycentric subdivisions in $d = 2, 3, 4$

For a general triangulation, the branching structure gives an order to the vertices of each triangle: the n -th vertex has n incoming edges. The ordering of the vertices

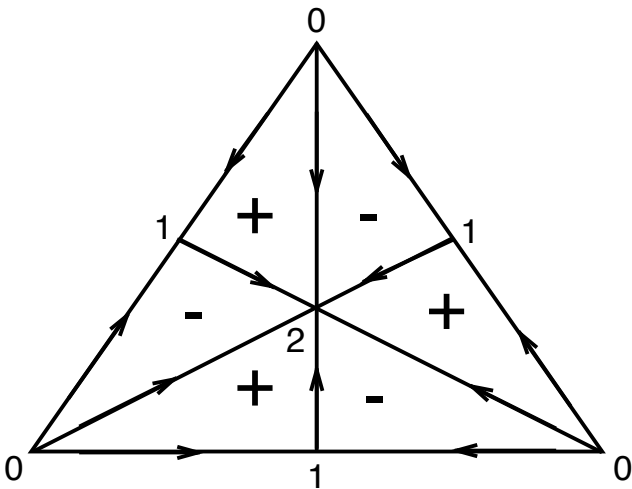


Fig. 1. The barycentric subdivision of a triangle.

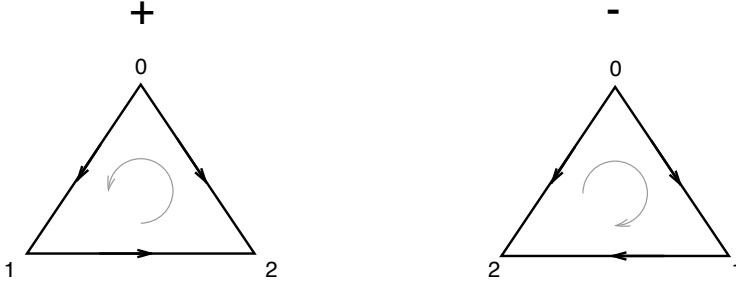


Fig. 2. The two possible orientations of a triangle and ordering of vertices induced by a branching structure on a 2d triangulation.

also gives an orientation of each simplex. This orientation may or not agree with the canonical counterclockwise orientation of the simplex. If it does, we have a “+” simplex, if not we have a “-” simplex. See Fig. 2.

In 2d, the partition function involves a factor of $(-1)^{m_0(g_v)}$ for each vertex v , and an extra factor of $(-1)^{m_0(g_v)}$ for each “-” triangle which has v as the vertex number 1.

If we pick our triangulation to be a barycentric subdivision BT , then each “1” vertex belongs to two “-” triangles, and we can disregard the second contribution. Thus $Z_m[BT]$ is the product over all vertices v of BT of $(-1)^{m_0(g_v)}$: This is simply the pairing of m_1 with the canonical 0-chain representative S for w_2 .

Every 2d manifold admits a spin structure, so that w_2 is always exact. If we pick some 1-chain E on BT such that $\partial E = S$, we can replace $Z_m[BT]$ with the improved $Z_n^E[BT]$. We interpret the choice of E as a choice of spin structure on the discretized surface.

In 2d we can actually sketch a proof that S is a chain representative for w_2 even for a generic triangulation T . In 2d, we can build representatives for w_2 by taking a vector field and picking the points where the vector field vanishes, counting how many times the vector field winds around the origin in a neighbourhood of each point, modulo 2. That is the same as 1 plus the number of times the vector field is tangent in the counterclockwise direction to a small circle around the point. If we pick the vector field V in Fig. A4, each vertex will contribute 1 plus the number of times the vertex appears at position “1” in a “-” triangle. That representative for w_2 coincides with S .

In 3d, the chain S of edges which appear in Z_m consists of all edges of the triangulation, together with the (02) edge for all “+” tetrahedra and the (13) edge for all “-” tetrahedra.

For a barycentric triangulation BT , the (02) edges join vertices of T and barycenters of triangles in T . They are shared by two “+” triangles. The (13) edges join midpoints of edges in T and the barycenters of tetrahedra in T . They

are shared by two “−” triangles. Thus the extra contributions to S cancel out, and S coincides with the sum of all edges, i.e., canonical chain representative for w_2 .

In 4d, the chain S consists of all triangles in the triangulation, together with the (013), (134), (123) triangles for a “+” 4-simplex, (024) for a “−” 4-simplex. In order to show these edges are shared by an even number of tetrahedra of the corresponding orientation, we can observe that they are fixed points of two reflections.

For example, a (013) triangle has vertices which are barycenters of the first, the first 2 and all vertices in a sequence of 4 (v_1, v_2, v_3, v_4) . As it belongs to a face, it is shared by two tetrahedra in T . As is invariant under exchange of v_3 and v_4 , it is fixed by a second reflection.

Similarly, a (134) triangle has vertices which are barycenters of the first 2, 4, 5 vertices of a sequence of 5 vertices. It is invariant under exchanging the first pair, or the second pair. A (123) triangle has vertices which are barycenters of the first 2, 3, 4 vertices of a sequence of 4 vertices. It belongs to a face and is invariant under exchange of the first pair of vertices. Finally, a (024) triangle has vertices which are barycenters of the first 1, 3, 5 vertices of a sequence of 5 vertices. It is invariant under exchange of the second and third vertices, and the fourth and fifth.

Thus all extra contributions to S cancel out, and S is given by the sum of all triangles, i.e., canonical chain representative for w_2 .

In order to mimic in general dimension d the 2d analysis for a general triangulation T , we would need to build a w_2 representative in terms of $d - 1$ vector fields on X . The representative would be concentrated at the locus where the vector fields fail to be linearly dependent, weighed by the number of times the vanishing linear combination of the vector fields winds around the other non-vanishing linear combinations in a neighbourhood of the locus. We expect it should be possible to define some canonical set of $d - 1$ vector fields in each simplex, linearly dependent at $(d - 2)$ -simplices, which demonstrate the S is a representative for w_2 .

3. The Gu–Wen Grassmann Integral as a Quadratic Refinement

3.1. Quadratic property of the Gu–Wen Grassmann integral

Next, we will look at Z_θ . We aim to show that the combination

$$Z_n^E[BT]Z_\theta[BT] \equiv z[X, \eta, \beta_{d-1}] \tag{18}$$

is a quadratic function of the \mathbb{Z}_2 cocycle β_{d-1} , which refines the pairing on the space of \mathbb{Z}_2 $(d - 1)$ -dimensional cocycles defined by the higher cup product \cup_{d-2} .

The Grassmann integral only depends on the image $\beta_{d-1}(e)$ of the cochain n_{d-1} on the co-dimension one faces e of the triangulation. Thus we can consider some generic $(d - 1)$ -cochain β_{d-1} , assigning a \mathbb{Z}_2 element to each edge of the triangulation, and specialize it to the image of n_{d-1} later on. For notational clarity, we will usually omit the subscript $(d - 1)$ from β .

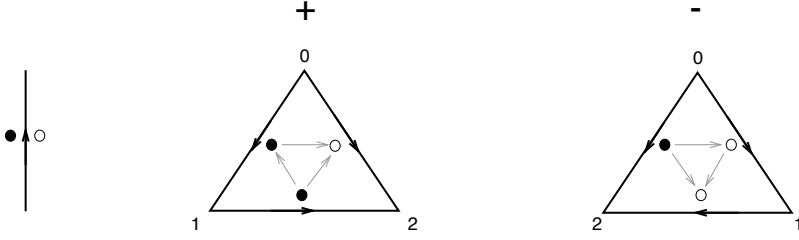


Fig. 3. Left: to each edge e such that $\beta(e) = 1$ we assign a θ_e Grassmann variable (black dot) and a $\bar{\theta}_e$ Grassmann variable (white dot). Each such edge contributes $d\theta_e d\bar{\theta}_e$ to the measure. Middle and right: as β is a cochain, each triangle is associated to two Grassmann variables, which are ordered in the integrand according to the grey arrows.

In the following formulae we will often refer to a cochain A_p evaluated the simplex defined by vertices a_0, \dots, a_p simply as $A_p(a_0 \dots a_p)$. We will also denote the Grassmann variables associated to an edge with vertices a and b as $\theta_{ab}, \bar{\theta}_{ab}$.

We will start with a 2d example, and then proceed to higher dimensions. If $\beta(e) = 1$ for an edge e , we assign to the edge Grassmann variables θ_e and $\bar{\theta}_e$: θ_e is associated to the side where the canonical orientation of the face agrees with the orientation of the edge, $\bar{\theta}$ on the side it disagrees.

To each triangle t we associate an even monomial $u(t)$, the product of the Grassmann variables attached to its edges, if present, in the order 12, 01, 02 according to the ordering of the vertices for a “+” triangle, opposite for a “−” triangle.

Thus a “+” triangle has monomial

$$\theta_{12}^{\beta(12)} \theta_{01}^{\beta(01)} \bar{\theta}_{02}^{\beta(02)} \quad (19)$$

and a “−” triangle has

$$\theta_{02}^{\beta(02)} \bar{\theta}_{01}^{\beta(01)} \bar{\theta}_{12}^{\beta(12)}. \quad (20)$$

We are interested in the sign

$$\sigma(\beta) = \int \prod_{e|\beta(e)=1} d\theta_e d\bar{\theta}_e \prod_t u(t). \quad (21)$$

We will show directly from the definition that

$$\sigma(\beta + \beta') = \sigma(\beta)\sigma(\beta')(-1)^{\int_X \beta \cup \beta'}, \quad (22)$$

i.e., $\sigma(\beta)$ is a quadratic function which refines the intersection pairing on 1-cocycles.

We can combine the two Grassmann integrals in $\sigma(\beta)\sigma(\beta')$ as

$$\sigma(\beta)\sigma(\beta') = \int \prod_{e|\beta(e)=1} d\theta_e d\bar{\theta}_e \prod_{e|\beta'(e)=1} d\theta'_e d\bar{\theta}'_e \prod_t u(t)[\beta, \theta, \bar{\theta}] u(t)[\beta', \theta', \bar{\theta}']. \quad (23)$$

There is a subset of “spurious” Grassmann variables which is associated to edges for which $\beta(e) = \beta'(e) = 1$. If we can systematically integrate them out, the remaining Grassmann variables will be associated to edges for which $\beta(e) + \beta'(e) = 1$ and coincide with the Grassmann variables in the formula for $\sigma(\beta + \beta')$.

Our strategy is to permute the variables until we form pairs $\theta_e \theta'_e$ and $\bar{\theta}_e \bar{\theta}'_e$ of spurious variables. These pairs are Grassmann even, and can be brought out of the integrand and eliminated against the $d\theta_e d\bar{\theta}_e d\theta'_e d\bar{\theta}'_e$ measure to give an overall $\prod_e (-1)^{\beta(e)\beta'(e)}$ sign.

The permutation of variables which brings the spurious pairs together is very simple: we interleave the variables in the product $u(t)[\beta, \theta, \bar{\theta}]u(t)[\beta', \theta', \bar{\theta}']$: for a “+” triangle we have

$$\begin{aligned} & \left[\theta_{12}^{\beta(12)} \theta_{01}^{\beta(01)} \bar{\theta}_{02}^{\beta(02)} \right] \left[(\theta'_{12})^{\beta'(12)} (\theta'_{01})^{\beta'(01)} (\bar{\theta}'_{02})^{\beta'(02)} \right] \\ &= (-1)^{\beta(01)\beta'(12)+\beta(02)\beta'(12)+\beta(02)\beta'(01)} \\ & \cdot \left[\theta_{12}^{\beta(12)} (\theta'_{12})^{\beta'(12)} \right] \left[\theta_{01}^{\beta(01)} (\theta'_{01})^{\beta'(01)} \right] \left[\bar{\theta}_{02}^{\beta(02)} (\bar{\theta}'_{02})^{\beta'(02)} \right], \end{aligned} \quad (24)$$

and for a “−” triangle we have

$$\begin{aligned} & \left[\theta_{02}^{\beta(02)} \bar{\theta}_{01}^{\beta(01)} \bar{\theta}_{12}^{\beta(12)} \right] \left[(\theta'_{02})^{\beta'(02)} (\bar{\theta}'_{01})^{\beta'(01)} (\bar{\theta}'_{12})^{\beta'(12)} \right] \\ &= (-1)^{\beta(01)\beta'(02)+\beta(12)\beta'(02)+\beta(12)\beta'(01)} \\ & \cdot \left[\theta_{02}^{\beta(02)} (\theta'_{02})^{\beta'(02)} \right] \left[\bar{\theta}_{01}^{\beta(01)} (\bar{\theta}'_{01})^{\beta'(01)} \right] \left[\bar{\theta}_{12}^{\beta(12)} (\bar{\theta}'_{12})^{\beta'(12)} \right]. \end{aligned} \quad (25)$$

Thus we have grouped together pairs of spurious variables, if present, and left the non-spurious variables in the correct order to be identified with $u(t)[\beta + \beta', \dots]$.

It is useful to re-distribute the overall $\prod_e (-1)^{\beta(e)\beta'(e)}$ sign, by associating each factor of $(-1)^{\beta(e)\beta'(e)}$ with the triangle to the right of the oriented edge e . Thus each “+” triangle, which only sits to the right of its 02 edge, is associated to an overall factor

$$(-1)^{\beta(01)\beta'(12)+\beta(02)\beta'(12)+\beta(02)\beta'(01)+\beta(02)\beta'(02)} = (-1)^{\beta(01)\beta'(12)} \quad (26)$$

and to each “−” triangle, which only sits to the right of its 01 and 12 edges, is associated to an overall factor

$$(-1)^{\beta(01)\beta'(02)+\beta(12)\beta'(02)+\beta(12)\beta'(01)+\beta(01)\beta'(01)+\beta(12)\beta'(12)} = (-1)^{\beta(01)\beta'(12)}. \quad (27)$$

Thus the reorganization of the Grassmann integral $\sigma(\beta)\sigma(\beta')$ into the Grassmann integral $\sigma(\beta + \beta')$ produces a sign of $(-1)^{\beta(e_{01})\beta'(e_{12})}$ for each triangle. This is nothing else but the cup product of β and β' ! Thus we have the desired quadratic property,

$$\sigma(\beta + \beta') = \sigma(\beta)\sigma(\beta')(-1)^{\int_X \beta \cup \beta'}. \quad (28)$$

We can readily extend the above argument to Z_θ in any dimension d . For general d , the Grassmann integral involves again pairs of variables associated to the two sides of each oriented $(d-1)$ -simplex e such that $\beta(e) = 1$.

The integrand is a product of monomials $u[s]$ made out of all Grassmann variables associated to each d -simplex s . The order of the variables in the monomial is determined by a specific rule. We will describe the rule later, for now we only need to know that it gives a canonical order to the faces of each simplex.

If we denote as $\sigma(\beta)$ again the result of the Grassmann integral, the same interleaving operation as in the 2d case gives us immediately a proof that $\sigma(\beta)$ is quadratic:

$$\sigma(\beta + \beta') = \sigma(\beta)\sigma(\beta') \prod_s \epsilon[s, \beta, \beta'], \quad (29)$$

with

$$\epsilon[s, \beta, \beta'] = (-1)^{\sum_{e, e' \in s}^{e > e'} \beta(e)\beta'(e') + \sum_{e \in s}^{e > 0} \beta(e)\beta(e')}, \quad (30)$$

with $e > e'$ in the order determined by $u[s]$ and $e > 0$ if $u[s, \beta]$ includes a $\bar{\theta}_e$ variable. We aim to identify the d -cochain in the exponent with the higher cup product $\beta \cup_{d-2} \beta'$.

The order of faces induced by $u[t]$ for a $+$ simplex places first the faces which omit the even vertices (first the one omitting 0, then the one omitting 2, etc.), which are labelled by θ_e variables, and then the faces which omit the odd vertices (first the one omitting 1, then the one omitting 3, etc.), which are labelled by $\bar{\theta}_e$ variables. The order for a $-$ simplex is the opposite (and the role of θ_e and $\bar{\theta}_e$).

We will now compute $\epsilon[s, \beta, \beta']$ in $d = 3$ and $d = 4$ for this choice of order and verify it is given by the standard higher cup products $\beta \cup_1 \beta'$ and $\beta \cup_2 \beta'$ respectively. A similar analysis in higher dimension should be straightforward.

Notice that if we were to pick a different choice of order Π for the factors in the measure, $\sigma_\Pi(\beta)$ would differ from the standard $\sigma(\beta)$ by a local linear term, which does not affect the quadratic refinement property. If we pick a different choice of order Π for the factors in $u[t]$, $\sigma_\Pi(\beta)$ will differ from the standard $\sigma(\beta)$ by a local quadratic term, some (β, β) pairing defined by a sum over simplices of the product of fermion numbers of the variables which have been permuted in $u[t]$ to get to the new order. That would change the quadratic refinement from $\beta \cup_{d-2} \beta'$ to some other

$$\beta \tilde{\cup}_{d-2} \beta' = \beta \cup_{d-2} \beta' + (\beta, \beta') + (\beta', \beta). \quad (31)$$

This is just a different choice of definition for the higher cup product: the basic relation in equation 6 remains valid with the re-definition

$$\beta \tilde{\cup}_{d-3} \beta' = \beta \cup_{d-2} \beta' + \delta(\beta, \beta') + (\delta\beta, \beta') + (\beta\delta\beta') \quad (32)$$

and all other products unchanged.

3.2. Quadratic refinement in 3d

At a $+$ simplex, we have an order of faces (123), (013), (023), (012) and thus the signs computed from the quadratic pairing,

$$\begin{aligned} & \beta(012)\beta'(123) + \beta(012)\beta'(013) + \beta(012)\beta'(023) + \beta(023)\beta'(123) \\ & + \beta(023)\beta'(013) + \beta(013)\beta'(123) + \beta(023)\beta'(023) + \beta(012)\beta'(012) \\ & = \beta(023)\beta'(012) + \beta(013)\beta'(123). \end{aligned} \quad (33)$$

At a $-$ simplex, we have an order of faces (012), (023), (013), (123), and thus the signs computed from the quadratic pairing,

$$\begin{aligned} & \beta(123)\beta'(012) + \beta(123)\beta'(023) + \beta(123)\beta'(013) + \beta(013)\beta'(012) \\ & + \beta(013)\beta'(023) + \beta(023)\beta'(012) + \beta(013)\beta'(013) + \beta(123)\beta'(123) \\ & = \beta(023)\beta'(012) + \beta(013)\beta'(123). \end{aligned} \quad (34)$$

Again, the dependence on the type of triangle drops out. We have a d -cochain $(\beta, \beta')_3$ given by the pairing $\beta(023)\beta'(012) + \beta(013)\beta'(123)$. This is precisely the definition of $\beta \cup_1 \beta'$. Thus we claim that in 3d we have

$$\sigma(\beta + \beta') = \sigma(\beta)\sigma(\beta')(-1)^{\int_X \beta \cup_1 \beta'}. \quad (35)$$

3.3. Quadratic refinement in 4d

At a $+$ simplex, we have an order of faces (1234), (0134), (0123), (0234), (0124) and thus the signs computed from the quadratic pairing,

$$\begin{aligned} & \beta(0124) [\beta'(1234) + \beta(0134) + \beta(0123) + \beta(0234)] \\ & + \beta(0234) [\beta'(1234) + \beta'(0134) + \beta'(0123)] + \beta(0123) [\beta'(1234) + \beta'(0134)] \\ & + \beta(0134)\beta'(1234) + \beta(0124)\beta'(0124) + \beta(0234)\beta'(0234) \\ & = \beta(0234)\beta'(0124) + \beta(0123)\beta'(1234) + \beta(0123)\beta'(0134) \\ & + \beta(0134)\beta'(1234). \end{aligned} \quad (36)$$

At a $-$ simplex, we find the same. Thus have a d -cochain

$$\begin{aligned} (\beta, \beta')_4 & = \beta(0234)\beta'(0124) + \beta(0123)\beta'(1234) \\ & + \beta(0123)\beta'(0134) + \beta(0134)\beta'(1234), \end{aligned} \quad (37)$$

which is the standard expression for $\beta \cup_2 \beta'$.

4. The 't Hooft Anomaly

4.1. Gauge variation of the Gu–Wen Grassmann integral

Next, we can look at the variation of the Grassmann integral under exact changes in the cocycle β_{d-1} . The calculation is greatly simplified by the quadratic refinement

property.

$$\sigma(\beta + \delta\lambda) = \sigma(\beta)\sigma(\delta\lambda)(-1)^{\int_X \beta \cup_{d-2} \delta\lambda} = \sigma(\beta)\sigma(\delta\lambda)(-1)^{\int_X \beta \cup_{d-3} \lambda + \lambda \cup_{d-3} \beta}, \quad (38)$$

where we used the basic property of the higher cup product, i.e., Eq. (6): the violation of the Leibniz rule for \cup_a equals the symmetrization of \cup_{a-1} .

We can specialize the quadratic refinement property to

$$\sigma(\delta\lambda + \delta\lambda') = \sigma(\delta\lambda)\sigma(\delta\lambda')(-1)^{\int_X \lambda \cup_{d-3} \delta\lambda' + \lambda' \cup_{d-3} \delta\lambda + \lambda \cup_{d-4} \lambda' + \lambda' \cup_{d-4} \lambda}, \quad (39)$$

which can be solved up to a linear ambiguity:

$$\sigma(\delta\lambda) = (-1)^{\sum_{s \in \mathcal{S}} \lambda(s) + \int_X \lambda \cup_{d-3} \delta\lambda + \lambda \cup_{d-4} \lambda}, \quad (40)$$

In order to fix the ambiguity, it is useful to compute directly $\sigma(\delta\lambda)$ for the simplest possible case, where λ is non-zero only on a single $(d-2)$ -simplex, so that $\delta\lambda$ equals 1 on all the $(d-1)$ -simplices whose boundary include the selected $(d-2)$ -simplex. Thus if we go around the $(d-2)$ -simplex, we will encounter a sequence of Grassmann variables ϑ_i , with measure factors $\pm d\vartheta_{2i}d\vartheta_{2i+1}$ from the $(d-1)$ -simplices and $u(t)$ factors $\pm \vartheta_{2i+2}\vartheta_{2i+1}$ from the d -simplices inserted around the $(d-2)$ -simplex.

If all the signs above were $+$, the overall Grassmann integral would give a factor of -1 . In general, the signs in the measure factors are determined by the orientation of the $(d-1)$ -simplices and the signs in the integrand factors $u[t]$ are determined by the relative order of the two $(d-1)$ -simplices Grassmann variables in $u[t]$.

Consider for simplicity a barycentric subdivision. We have several types of $(d-2)$ simplices, which can be labelled by two integers from 0 to d : they are simplices whose vertices do not include the barycenters of a vertices or of b vertices. They are associated to an alternating sequence of $(d-1)$ simplices which omit either barycenters of a vertices or of b vertices. As these simplices are also of alternating type $+$ or $-$, it is easy to see that we can pick a direction around the $(d-2)$ -simplex such that the integrand factors will have all $+$ signs. Working through a few examples, it is easy to convince oneself that the signs in the measure factors multiply to 1. Thus if λ_s is non-zero only on a single $(d-2)$ -simplex s in BT , we can write

$$\sigma(\delta\lambda_s) = -1. \quad (41)$$

As the quadratic part of equation (40) vanishes for λ_s , we find that \tilde{S} for a barycentric subdivision BT coincides again with the canonical representative for w_2 . We expect \tilde{S} to essentially coincide with S and the canonical chain representative for w_2 for a general triangulation as well. In other words, we expect that

$$z[X, \eta, \delta\lambda_s] = 1. \quad (42)$$

Thus we can write

$$\sigma(\delta\lambda) = (-1)^{\int_X \lambda \cup_{d-3} \delta\lambda + \lambda \cup_{d-4} \lambda + w_2 \cup \lambda}, \quad (43)$$

and thus:

$$\sigma(\beta + \delta\lambda) = \sigma(\beta)(-1)^{\int_X \beta \cup_{d-3} \lambda + \lambda \cup_{d-3} \beta + \lambda \cup_{d-3} \delta\lambda + \lambda \cup_{d-4} \lambda + w_2 \cup \lambda}. \quad (44)$$

We can also write the 't Hooft anomaly of $z[X, \eta, \beta_{d-1}]$ under gauge transformations of β_{d-1} :

$$z[X, \eta, \beta_{d-1} + \delta\lambda_{d-2}] = z[X, \eta, \beta_{d-1}](-1)^{\int_X \beta \cup_{d-3} \lambda + \lambda \cup_{d-3} \beta + \lambda \cup_{d-3} \delta\lambda + \lambda \cup_{d-4} \lambda}. \quad (45)$$

4.2. A WZW-like expression for a quadratic refinement

For $d \geq 3$ one can construct a WZW-like expression for the quadratic function of the cocycle β as follows. Let us assume that X is a boundary of some compact oriented $(d+1)$ -manifold Y . This is automatic if $d \leq 3$, since the oriented bordism group $\Omega_d^{SO}(pt)$ vanishes for $d = 2, 3$,¹⁴ but in general it is a nontrivial constraint on X . If we are given a $(d-1)$ -cocycle $\beta \in Z^{d-1}(X, \mathbb{Z}_2)$ on X , one can always choose Y so that β extends to a $(d-1)$ -cocycle on Y . To see this, we regard β as map $\beta : X \rightarrow K(\mathbb{Z}_2, d-1)$ where $K(\mathbb{Z}_2, d-1)$ is an Eilenberg-MacLane space; then the statement we need is that the reduced oriented bordism group $\tilde{\Omega}_d^{SO}(K(\mathbb{Z}_2, d-1))$ vanishes. This follows from the Atiyah-Hirzebruch spectral sequence for (unreduced) bordism and the vanishing of the reduced homology of $K(\mathbb{Z}_2, d-1)$ in degree less than $d-1$. Moreover, if $d \geq 3$, the reduced oriented d -dimensional bordism of a product of several copies of $K(\mathbb{Z}_2, d-1)$ also vanishes, for the same reason.^d This implies that one can choose Y to be independent of β . Such a Y is not unique, of course.

Consider now the following WZW-like expression:

$$\tilde{\sigma}(\beta) = (-1)^{\int_Y \beta \cup_{d-3} \beta + w_2 \cup \beta}. \quad (46)$$

It is independent of the actual choice of Y , as $\beta \cup_{d-3} \beta + w_2 \cup \beta$ is known to be exact if β is a cocycle.

This expression is a quadratic refinement of \cup_{d-2} . Indeed, if β and β' are $(d-1)$ -cocycles on X , we get

$$\tilde{\sigma}(\beta + \beta') = \tilde{\sigma}(\beta)\tilde{\sigma}(\beta')(-1)^{\int_X \beta \cup_{d-2} \beta'}. \quad (47)$$

It also transforms in the same way as σ under 1-form \mathbb{Z}_2 gauge symmetry:

$$\begin{aligned} \tilde{\sigma}(\delta\lambda) &= (-1)^{\int_Y \delta\lambda \cup_{d-3} \delta\lambda + w_2 \cup \delta\lambda} \\ &= (-1)^{\int_Y \lambda \cup_{d-4} \delta\lambda + \delta\lambda \cup_{d-4} \lambda + \int_X \lambda \cup_{d-3} \delta\lambda + w_2 \cup \lambda} \\ &= (-1)^{\int_X \lambda \cup_{d-3} \delta\lambda + \lambda \cup_{d-4} \lambda + w_2 \cup \lambda}. \end{aligned} \quad (48)$$

^dThis fails for $d = 2$, because of the Tor terms in the Künneth formula. In fact, it is easy to give an example of a closed oriented 2-manifold X and a pair of classes $\alpha_1, \alpha_2 \in H^1(X, \mathbb{Z}_2)$ such that there is no compact oriented 3-manifold Y such that $X = \partial Y$ and both α_1, α_2 arise from restriction of cohomology classes on Y . For example, one can take X to be a 2-torus, with α_1 and α_2 being the generators of $H^1(X, \mathbb{Z}_2)$.

This means that σ and $\tilde{\sigma}$ can only differ by a linear and gauge-invariant function of β .

Thus for $d \geq 3$ for all practical purposes we can write

$$z[X, \eta, \beta_{d-1}] = (-1)^{\int_X \eta \cup \beta_{d-1} + \int_Y \beta_{d-1} \cup_{d-3} \beta_{d-1} + w_2 \cup \beta_{d-1}}. \quad (49)$$

5. Fermionic SPT Phases and Spin Cobordism

It was proposed in Ref. 11 that fermionic Short Range Entangled phases in d space-time dimensions with symmetry G and vanishing thermal Hall conductivity are classified by the Pontryagin dual of the torsion part of $\Omega_d^{Spin}(BG)$. Here $BG \simeq K(G, 1)$ is the classifying space of G . Some checks of this were performed in Ref. 12. We can now compare with the Gu–Wen supercohomology proposal in low dimensions.

Let us begin with $d = 3$. In this dimension there are no nontrivial fermionic SRE phases in the absence of symmetry, so in the presence of symmetry there is no distinction between SRE and SPT phases. From the mathematical viewpoint, one has $\Omega_3^{Spin}(pt) = 0$, and thus $\Omega_3^{Spin}(BG)$ coincides with the reduced bordism group $\tilde{\Omega}_3^{Spin}(BG)$. The partition function of the model corrected by the spin-structure dependent term is

$$Z(X, A, \eta) = \exp \left(2\pi i \int_X A^* \nu_3 \right) z(X, \eta, A^* \beta_2), \quad (50)$$

where $\nu_3 \in C^3(BG, \mathbb{R}/\mathbb{Z})$, $\beta_2 \in Z^2(BG, \mathbb{Z}_2)$, and A is a gauge field on X regarded as a map $A : X \rightarrow BG$. The cochains μ_3 and β_2 satisfy

$$\delta \nu_3 = \frac{1}{2} \beta_2 \cup \beta_2. \quad (51)$$

It is easy to see that this expression defines an element of the Pontryagin dual of $\Omega_3^{Spin}(BG)$. Indeed, it is clear that $Z(A, \eta)$ is multiplicative under disjoint union. Now, suppose there exists a compact spin 4-manifold Y with boundary (X, η) such that A extends to a map $A_Y : Y \rightarrow BG$. Then

$$\exp(2\pi i \int_X A^* \nu_3) = (-1)^{\int_Y A_Y^* \beta_2 \cup A_Y^* \beta_2}. \quad (52)$$

On the other hand, the WZW-like expression for $z(X, \eta, \beta_2)$ becomes

$$(-1)^{\int_X \eta \cup A^* \beta_2 + \int_Y w_2 \cup A_Y^* \beta_2 + \int_Y A_Y^* \beta_2 \cup A_Y^* \beta_2}, \quad (53)$$

which is clearly the same as Eq. (52). Since $Z(X, A, \eta)$ becomes 1 when evaluated on trivial bordism classes, it defines a homomorphism from $\Omega_3^{Spin}(BG)$ to $U(1)$.

Not all spin cobordism classes can be so obtained. For example, for $G = \mathbb{Z}_2$ it is known that $\Omega_3^{Spin}(B\mathbb{Z}_2) \simeq \mathbb{Z}_8$,¹² while the Gu–Wen construction only gives phases labeled by \mathbb{Z}_4 . From the physics side, it is also known that 3d fermionic SPT phases with \mathbb{Z}_2 symmetry are classified by \mathbb{Z}_8 .¹³

For $d > 3$ there may exist nontrivial fermionic SRE phases even in the absence of any symmetry. According to Ref. 11, they exist whenever $\Omega_d^{Spin}(pt)$ has torsion. If

we want to focus on fermionic SPT phases, we can restrict to d -manifolds X which define a trivial class in $\Omega_d^{Spin}(pt)$. Then the same argument shows that if A extends to a compact spin $(d+1)$ -manifold Y such that $\partial Y = X$, then the partition function of the Gu–Wen model is 1. Therefore, each Gu–Wen supercohomology class defines a homomorphism from the reduced bordism group $\tilde{\Omega}_d^{Spin}(BG)$ to $U(1)$. Again, in general we do not expect that all such homomorphisms can be obtained from the Gu–Wen construction.

In $d = 2$ the arguments are a bit different, since there is no WZW-like expression for $z(X, \eta, \beta_1)$. The proposal of Ref. 11 is that fermionic SPT phases are classified by the Pontryagin dual of the reduced bordism group $\tilde{\Omega}_2^{Spin}(BG)$. There are also fermionic SRE phases in the absence of any symmetry which are classified by the dual of $\Omega_2^{Spin}(pt) = \mathbb{Z}_2$. The Gu–Wen construction describes only the former. To describe the correspondence, recall that a spin structure on an oriented 2-manifold can be identified with a quadratic refinement of the intersection form on $H_1(X, \mathbb{Z}_2) \simeq H^1(X, \mathbb{Z}_2)$.^{15, 16} This quadratic refinement is nothing but $z(X, \eta, \beta_1)$, see Appendix A for a detailed discussion. Moreover, it follows from the results of Ref. 15 that the value of $z(X, \eta, \beta_1)$ depends only on the bordism class of (X, η, β_1) in $\Omega_2^{Spin}(B\mathbb{Z}_2)$. Thus the Gu–Wen construction defines a map from $H^1(BG, \mathbb{Z}_2)$ to spin cobordism of BG . This map is not a homomorphism, because $z(X, \eta, \beta_1)$ is not linear but quadratic in β_1 . But we should remember that every element $\nu_2 \in H^2(BG, \mathbb{R}/\mathbb{Z})$ also gives us an element in the spin cobordism of BG . Thus Gu–Wen SPT phases are described by pairs $(\nu_2, \beta_1) \in H^2(BG, \mathbb{R}/\mathbb{Z}) \times H^1(BG, \mathbb{Z}_2)$. The group structure is a nontrivial extension of $H^1(BG, \mathbb{Z}_2)$ by $H^2(BG, \mathbb{R}/\mathbb{Z})$:

$$(\nu_2, \beta_1) + (\nu'_2, \beta'_1) = (\nu_2 + \nu'_2 + \frac{1}{2}\beta_1 \cup \beta'_1, \beta_1 + \beta'_1). \quad (54)$$

It follows from the Atiyah–Hirzebruch spectral sequence that this extension is isomorphic to the dual of the reduced bordism $\tilde{\Omega}_2^{Spin}(BG)$, in agreement with the proposal of Ref. 11.

The nontrivial $d = 2$ fermionic SRE phase without any symmetry is realized by the Kitaev spin chain. The corresponding spin-TQFT has the Arf invariant as its partition function (the unique bordism invariant of spin structures in $d = 2$). We recall that the Arf invariant of (X, η) is essentially the average of the $z(X, \eta, \beta_1)$ over all $\beta_1 \in H^1(X, \mathbb{Z}_2)$.¹⁶ This spin-TQFT can also be constructed using the Gu–Wen Grassmann integral, see Sec. 6.

6. Constructing Spin-TQFTs in Low Dimensions

6.1. State-sum constructions of 2d spin-TQFTs

An oriented 2d TQFT can be defined axiomatically as a functor from a geometric category Cob_2 to the category of vector spaces. The category Cob_2 has closed 1-manifolds as objects and oriented bordisms between them as morphisms. It is well known that there is a 1-1 correspondence between oriented 2d TQFTs and commutative Frobenius algebras. The commutative Frobenius algebra corresponding to a

given 2d TQFT encodes 2-point and 3-point functions on a sphere, and the rest of the correlators can be reconstructed from it.

An alternative approach to constructing 2d TQFTs is provided by state-sum models.^{17,18} This approach is more natural from the statistical mechanics viewpoint and gives a manifestly local recipe for computing the TQFT partition function and correlators for a triangulated closed oriented d -manifold X . One starts with a not necessarily commutative semi-simple Frobenius algebra A and defines the partition function as follows. Fix a basis e_i , $i \in I$, in A and denote by C_{jk}^i the structure constants of A in this basis. Let

$$g_{ij} = C_{ik}^l C_{jl}^k.$$

The matrix g_{ij} is non-degenerate if A is semi-simple. Let g^{ij} be its inverse. It is easy to see that

$$C_{ijk} = g_{il} C_{jk}^l$$

is cyclically symmetric. A coloring of a 2-simplex f of X is an assignment of an element of I to each boundary 1-simplex of f . A coloring of a triangulation is a coloring of each 2-simplex. If each 2-simplex is colored, each 1-simplex has two colors. The partition function of a triangulated manifold X is a sum over all colorings of the triangulation, with the weight of each coloring defined as product of weights of 1-simplices and 2-simplices. The weight of a 1-simplex colored by $i, j \in I$ is g^{ij} . The weight of a 2-simplex whose three edges are colored by i, j, k is C_{ijk} . Here we use the cycling ordering of the edges arising from the orientation of X . It is easy to show that the partition function thus defined is independent of the choice of a triangulation.^{17,18}

The state-sum construction is somewhat redundant, as the partition function and the correlators depend only on the center $Z(A)$, which is a semi-simple commutative Frobenius algebra. The algebra A can be interpreted as the algebra of boundary operators for a particular boundary condition for the TQFT based on $Z(A)$. On the other hand, the state-sum construction is very explicit and can be easily extended to manifolds with boundaries.

Note that the state-sum construction always gives rise to a semi-simple $Z(A)$ and therefore does not produce the most general oriented 2d TQFT. For applications to condensed matter physics, this is not a serious drawback, since unitary TQFTs are automatically semi-simple.

It is easy to modify the state-sum construction to produce 2d spin-TQFTs. One starts with a \mathbb{Z}_2 -graded semi-simple algebra A . Let e_i , $i \in I$, be its basis. Each basis vector is assumed to have a well-defined grading $\beta(i) \in \mathbb{Z}_2$. As in the bosonic case, we color each edge of each 2-simplex with an element of I , so that each 1-simplex is colored with a pair of elements of I . The weights assigned to 1-simplices and 2-simplices will be proportional to g^{ij} and C_{ijk} . Since the algebra A is \mathbb{Z}_2 -graded, the matrix g^{ij} vanishes if $\beta(i) \neq \beta(j)$. Thus we may assume that if a 1-simplex is colored by i, j , then $\beta(i) = \beta(j)$. Thus each allowed coloring defines a \mathbb{Z}_2 -valued

1-cochain β . Since C_{ijk} vanishes unless $\beta(i) + \beta(j) + \beta(k) = 0$, this 1-cochain is a cocycle.

In order to account for the Grassmann nature of elements of A , we correct the naive sum over the colour of edges by including an overall sign. This is the sign which arises from identifying C_{ijk} with an element of $A^* \otimes A^* \otimes A^*$ and g^{ij} with an element of $A \otimes A$: we pick some order of the factors in each individual weight and then re-order them to bring together the pairs of A and A^* spaces we want to contract.

The combinatorics of the Koszul signs is the same as in the Gu–Wen construction. The Grassmann variables $\theta_e, \bar{\theta}_e$ act as placeholders for the Grassmann-odd generators of A^* in the weights of 2-simplices and the $d\theta_e, d\bar{\theta}_e$ as placeholders for the Grassmann-odd generators of A in the weights of 1-simplices. The contraction between generators of A and A^* is mimicked by the Grassmann integration. The result is just $\sigma(\beta)$.

Thus the naive weight of each allowed coloring will be the product of g^{ij} over 1-simplices, C_{ijk} over 2-simplices and $\sigma(\beta)$. We can rewrite the sum over colorings as a sum over colorings producing a particular 1-cocycle β followed by a sum over β . Let us denote by $Z[\beta]$ the result of the first summation. The discussion in Sec. 2 implies that $Z[\beta]$ is independent of the triangulation up to a sign. To make it completely independent, we need to choose a trivialization η of w_2 and multiply $Z[\beta]$ by a correction factor

$$(-1)^{\int_X \eta \cup \beta},$$

i.e., we take the correct weight to be the product of g^{ij} over 1-simplices, C_{ijk} over 2-simplices and $z(X, \eta, \beta)$. Then the partition function depends on the spin structure η on X , but not on a particular triangulation. Notice that in 2d $z(X, \eta, \beta)$ coincides with the well-known quadratic refinement $(-1)^{q_\eta(\beta)}$ of the intersection form, evaluated on β (see Appendix A).

The simplest example of a 2d spin-TQFT is obtained if we take A to be the Clifford algebra $\text{Cl}(1)$. It is generated by 1 and an odd variable η satisfying $\eta^2 = 1$. The 2×2 matrix g_{ij} , $i \in \mathbb{Z}_2$, is given by $g_{ij} = 2\delta_{ij}$, while C_{ijk} is equal to either 2 or 0 depending on whether the sum of the indices is 0 or 1 modulo 2. In this case the coloring is completely determined by the 1-cochain β , and the weight is simply $z(X, \eta, \beta) = (-1)^{q_\eta(\beta)}$. More precisely, if we denote by E and F the number of 1-simplices and 2-simplices respectively, the partition function reduces to

$$2^{F-E} \sum_{\beta} (-1)^{q_\eta(\beta)} = 2^{1-g(X)} \text{Arf}(X, \eta),$$

where $g(X)$ is the genus of X , and $\text{Arf}(X, \eta) \in \{\pm 1\}$ is the Arf invariant of the spin structure η :^{15, 16, 23}

$$\text{Arf}(X, \eta) = 2^{-g(X)} \sum_{[\beta] \in H^1(X, \mathbb{Z}_2)} (-1)^{q_\eta(\beta)}.$$

The partition function of the state-sum is not ± 1 , but it differs from it by an exponential of an integral of a local counter-term (the Euler density), and thus can be made ± 1 by a local redefinition. After such a redefinition, we get an invertible 2d spin-TQFT which describes the basic fermionic SRE phase in two space-time dimensions (the Majorana spin chain). This spin-TQFT has also been briefly discussed in Ref. 22.

6.2. State-sum construction of 3d spin-TQFTs

In 3d, the state-sum construction of bosonic TQFTs is known as the Turaev-Viro construction.^{19,20} Again, it does not produce the most general oriented 3d TQFT^e, but it has the advantage that it can be easily extended to manifolds with boundaries.

The starting point of the Turaev-Viro construction is a spherical fusion category \mathcal{A} . It can be thought of as a categorification of a finite-dimensional semi-simple algebra A . A fusion category is a semi-simple rigid monoidal category with a finite number of simple objects. Let I be the set of simple objects. The partition function is a sum over colorings of 1-simplices of the triangulation with elements of I . If we denote such a coloring by ϕ , we can write

$$Z = \sum_{\phi} w[\phi] Z_{\phi}. \quad (55)$$

The weights $w[\phi]$ are a product over 1-simplices of an appropriate function of their color, times a product over all 0-simplices of an appropriate \mathcal{A} -dependent constant.

Each individual term Z_{ϕ} in the partition function can be thought of as the evaluation of a tensor network: each face with edges of color i, j, k is associated to a pair of vector spaces V_{ijk}, V_{ijk}^* , each one associated to a side of the face, and each tetrahedron t to chosen element $F[\phi[t]]$ in the tensor product of the four vector spaces associated to its faces. The tensors are contracted together at the common faces. The partition function will be invariant under changes of triangulations if the $F[\phi[t]]$ tensors satisfy some basic axioms, such as the pentagon axiom, which guarantees invariance under a $2-3$ move.

The same construction applied to a manifold with a boundary produces wavefunctions, which can be reproduced as ground states of a Hamiltonian built from the same data.¹⁰

The fermionic version of this construction is given in the literature in the “Hamiltonian” form, without a description of the corresponding partition function. In this section we will attempt to assemble the ingredients of the fermionic construction into a partition function.

The starting point is a spherical super-fusion category \mathcal{A} . As far as we know, this notion was first mentioned in Ref. 9 and appeared more recently in Ref. 25

^eFor example, Chern-Simons TQFTs for simple Lie groups do not arise from the Turaev-Viro construction.

in the context of fermion condensation (see below). We will now describe what this means in a somewhat informal way, relegating the details to Appendix C. A super-fusion category has a finite number of simple objects V_i , $i \in I$ representing independent quasi-particle excitations. There are no nonzero morphisms between V_i and V_j if $i \neq j$, while the space of morphisms from V_i to V_i is a \mathbb{Z}_2 -graded division algebra (i.e., every nonzero element has an inverse). Unlike in the bosonic case, there are two possibilities for such a division algebra: \mathbb{C} or a Clifford algebra with one generator $\text{Cl}(1)$. Following Ref. 9 we will call the former kind of simple object a bosonic simple object, and the latter kind of object a Majorana simple object. Since $\text{Cl}(1) \otimes \text{Cl}(1) \simeq \text{Cl}(2)$, the identity object is always bosonic.

This can be explained in a different way. One can tensor any object of \mathcal{A} with a \mathbb{Z}_2 -graded vector space. Tensoring with a bosonic vector space of dimension N is equivalent to taking N copies of the quasi-particle. Tensoring with $\mathbb{C}^{0|1}$ (a fermionic vector space of dimension 1) has the physical meaning of attaching a fermion to the quasi-particle. The latter operation maps an object to an isomorphic one, but the obvious isomorphism is odd (fermionic). There may or may not be an even isomorphism as well. Now, suppose V_i is a simple object. If V_i is even-isomorphic to $\mathbb{C}^{0|1} \otimes V_i$, then $\text{Hom}(V_i, V_i) \simeq \text{Cl}(1)$, i.e., V_i is Majorana. On the other hand, if V_i is not even-isomorphic to $\mathbb{C}^{0|1} \otimes V_i$, then $\text{Hom}(V_i, V_i) \simeq \mathbb{C}$, i.e., V_i is bosonic.

As usual, one can fuse quasi-particles, and the fusion rules are described by the way tensor products of simple objects decompose into sums of simple objects:

$$V_i \otimes V_j \simeq \oplus_{k \in I} H_{ij}^k \otimes V_k. \tag{56}$$

Here the “coefficients” H_{ij}^k are finite-dimensional \mathbb{Z}_2 -graded vector spaces. If some of the simple objects are Majorana, there is a subtlety: the absolute grading on some of H_{ij}^k is not well-defined. For simplicity, we will assume that all simple objects are bosonic, so that all H_{ij}^k have a well-defined grading.

The fusion of quasi-particles is associative, in the sense that for any $i, j, k \in I$ there is an even isomorphism,

$$\mathbf{a}(i, j, k) : (V_i \otimes V_j) \otimes V_k \rightarrow V_i \otimes (V_j \otimes V_k).$$

Expanding both sides in terms of simple objects, we deduce that $\mathbf{a}(i, j, k)$ is determined by an even linear map of \mathbb{Z}_2 -graded vector spaces,

$$F \begin{bmatrix} i & j & l \\ k & m & n \end{bmatrix} : H_{ij}^l \otimes H_{lk}^m \rightarrow H_{in}^m \otimes H_{jk}^n.$$

This map is known as a 6j symbol. The collection of all 6j symbols satisfy an associativity constraint which ensures that the two ways of constructing an isomorphism from $((V_i \otimes V_j) \otimes V_k) \otimes V_l$ to $(V_i \otimes (V_j \otimes (V_k \otimes V_l)))$ are the same. To write down a concrete form for it, we need to choose a basis $e_{\alpha_{ij}^k}, \alpha_{ij}^k \in J_{ij}^k$, in each space H_{ij}^k . Let $\epsilon(\alpha_{ij}^k)$ be the fermionic parity of the vector $e_{\alpha_{ij}^k}$. Then the associativity constraint

(a.k.a. the fermionic pentagon equation) reads:

$$\sum_{t \in I} F \begin{bmatrix} i & j & m \\ k & n & t \end{bmatrix}_{\eta\phi}^{\alpha\beta} F \begin{bmatrix} i & t & n \\ l & p & s \end{bmatrix}_{\kappa\gamma}^{\phi\chi} F \begin{bmatrix} j & k & t \\ l & s & q \end{bmatrix}_{\delta\phi}^{\eta\kappa} \\ = (-1)^{\epsilon(\alpha_{ij}^m)\epsilon(\delta_{kl}^q)} F \begin{bmatrix} m & k & n \\ l & p & q \end{bmatrix}_{\delta\epsilon}^{\beta\chi} F \begin{bmatrix} i & j & m \\ q & p & s \end{bmatrix}_{\phi\gamma}^{\alpha\epsilon}. \quad (57)$$

Note the sign on the r.h.s. It reflects the fact that composition of morphisms in the symmetric tensor category of \mathbb{Z}_2 -graded vector spaces is defined using the Koszul sign rule.

A spherical structure on a super-fusion category assigns to every object V its dual V^* , so that $V^{**} \simeq V$ and that for every two objects U, V we have isomorphisms,

$$\begin{aligned} \text{Hom}(U, V) &\simeq \text{Hom}(U \otimes V^*, 1) \simeq \text{Hom}(V^* \otimes U, 1) \\ &\simeq \text{Hom}(1, U^* \otimes V) \simeq \text{Hom}(1, V \otimes U^*). \end{aligned} \quad (58)$$

Let us denote by V_i the dual of a simple object V_i . The spherical structure ensures that the spaces $H_{ijk} = H_{ij}^k$ are cyclically symmetric and that the space $H_{\bar{k}\bar{j}\bar{i}}$ is dual to H_{ijk} for all $i, j, k \in I$.

In the fermionic Turaev-Viro construction one considers colorings of 1-simplices of an oriented triangulated 3-manifold X with objects V_i . We can choose a branching structure on the triangulation, so that the vertices of each 3-simplex and each 2-simplex have an order. To each 2-simplex one can attach a \mathbb{Z}_2 -graded vector spaces H_{ijk} and its dual, depending on the orientation. Next we would like to attach a 6j symbol, which is an element of a tensor product of four \mathbb{Z}_2 -graded vector spaces, to a 3-simplex, and form the partition function by contracting these tensors along their shared 2-simplices. But there are two problems with this. First, the 6j symbol itself is an element of the tensor product with a particular ordering of \mathbb{Z}_2 -graded factors H_{ij}^k and their duals. Changing this order may change the sign of some components of the 6j symbol, but there is no natural order on the faces of a 3-simplex. Second, the contraction of two odd elements of dual \mathbb{Z}_2 -graded vector spaces changes sign if one exchanges their order, but for a given 2-simplex in an oriented triangulation there is no preferred order of two 3-simplices sharing it, unless the 2-simplex itself is given an orientation. Such an orientation is induced by a branching structure, but this means that the partition function might depend on the branching structure.

These choices are analogous to the choices made in the construction of fermionic SPT phases: the order of the factors in the tensor product defining 6j symbols is analogous to the order of the Grassmann variables in $u[t]$ and the choice of order of the two sides of a 2-simplex is analogous to the choice of $d\theta d\bar{\theta}$ or $d\bar{\theta} d\theta$ in the fermionic measure. One can make the analogy completely precise in the following way. First, we choose a basis

$$e_{\alpha_{ijk}}, \alpha_{ijk} \in J_{ijk},$$

in every space H_{ijk} . The weight of a particular coloring can be computed by summing over the variables α_{ijk} attached to every 2-simplex whose edges are colored

by i, j, k . If we assume as before that the basis vectors have definite parity, each choice of α variables gives a \mathbb{Z}_2 -valued 2-cochain. Further, since 6j symbols are even maps, this 2-cochain becomes a 2-cocycle β_2 when restricted to configurations of α variables for which all 6j symbols are nonzero. If we perform the summation over such variables first by fixing β_2 , and then summing over all choices of β_2 , then the Koszul sign is simply $\sigma_\Pi(\beta_2)$, where Π denotes the ordering choices made in the definition of the partition function.

Thus the tensor network amplitude restricted to some fermionic parity sector β_2 can be decomposed as

$$Z_\phi(\beta) = Z_\phi^b(\beta_2)\sigma_\Pi(\beta_2), \quad (59)$$

where Z_ϕ^b is a “bosonized” amplitude computed without keeping track of Koszul signs in manipulating the vector spaces in the tensors, but rather pretends that all vector spaces are bosonic.

There is also a close analogy between the sign factor $(-1)^{\epsilon(\alpha_{ijm})\epsilon(\delta_{klq})}$ in the fermionic pentagon equation and the twisted cocycle condition satisfied by the 3-cochain ν_3 used in the construction of 3d fermionic SPT phases. Indeed, we can write the twisting factor as^f

$$(-1)^{\epsilon(\alpha_{ijm})\epsilon(\delta_{klq})} \equiv (-1)^{\beta_2(012)\beta_2(234)} = (-1)^{[\beta_2 \cup \beta_2](01234)}. \quad (60)$$

This is precisely the cup square of β_2 evaluated on the 4-simplex associated to the 2-3 move.^g Notice that the fermionic pentagon relation involves a sum of terms with different fermionic parity in the internal faces, but the sign factor only involves the fermionic parity of the external faces.

The fermionic pentagon relation is written in terms of the components of the tensors, and thus does not include any Koszul signs. The sign factor describes a change in the sign of the bosonic weight under a 2-3 move. If we put the Koszul signs back in, the change of $\sigma_\Pi(\beta_2)$ under a 2-3 move will almost cancel the sign coming from the pentagon equation: it will trade the cup square of β_2 with the usual linear term $\int w_2 \beta_2$ controlled by the canonical representative for w_2 . Again, this linear term will provide an obstruction to assembling a well-defined partition function, unless the underlying manifold admits a spin structure.

It should be now clear how to assemble a well-defined spin-structure-dependent partition sum:

$$Z[\eta] = \sum_{\beta_2} \sum_{\phi} w[\phi] Z_\phi^b[\beta_2] z[X, \eta, \beta_2], \quad (61)$$

^fIn order to write this formula, we have assigned a specific order 01234 to the vertices of 4-simplex, associated respectively to edges $imnp, ijs, kjmq, knl, lpqs$. This order is the one associated with the branching structure induced by the orientation of edges in the definition of the 6j symbols, such that a factor of H_{ij}^k is associated to a positively oriented face, with edges i and j positively oriented and k negatively oriented, and $(H_{ij}^k)^*$ is associated to a negatively oriented face, with edges i and j negatively oriented and k positively oriented.

^gWe would like to thank Zhengcheng Gu for pointing it out.

where we decomposed the partition sum over eigenspaces of $\hat{\beta}_2$, denoted the “bosonized” partition sum in each sector as $Z_\phi^b[\beta_2]$ and combined the Koszul signs and the spin-structure-dependent correction into $z[X, \eta, \beta_2]$. The change of $z[X, \eta, \beta_2]$ under 2-3 moves will cancel against the sign factor in the pentagon relation, giving a well-defined object.

Notice that $Z[\eta]$ is almost written as the partition function of a \mathbb{Z}_2 gauge theory with a 2-form gauge field β_2 , except that $Z_\phi^b[\beta_2]$ is not well-defined on its own, as the 2 – 3 move involves a summation over both bosonic and fermionic vector spaces when gluing the tetrahedra. Inspection of the 2 – 3 move, though shows that the cocycle condition for β_2 almost fixes the Grassmann parity on internal faces, up to a binary choice, corresponding to a shift of β_2 by the coboundary of a cochain concentrated on the “t” edge of the tetrahedra on the 3 side of the 2 – 3 move.^h

That means that we can define a sensible bosonic partition function by summing only over β_2 which differ by an exact cocycle,

$$\tilde{Z}^b[\beta_2] = \sum_{\lambda_1} \sum_{\phi} 2^{-\#(\text{edges})} w[\phi] Z_\phi^b[\beta_2 + \delta\lambda_1] (-1)^{\int_X \beta_2 \cup \lambda_1 + \lambda_1 \cup \beta_2 + \lambda_1 \cup \delta\lambda_1}, \quad (62)$$

and re-write the fermionic partition function as

$$Z[\eta] = \sum_{\beta_2} \tilde{Z}^b[\beta_2] z[X, \eta, \beta_2]. \quad (63)$$

Thus the fermionic Turaev-Viro theories can be obtained by gauging the diagonal 1-form \mathbb{Z}_2 global symmetry of a product of appropriate bosonic theories and the spin-TFT K_3 defined by the partition function $z[X, \eta, \beta_2]$.

7. Fermionic Anyon Condensation

7.1. Self-fermions and anomalous 1-form \mathbb{Z}_2 global symmetry

In this section we would like to argue that in 2 + 1 dimensions, a bosonic TQFT equipped with a 1-form \mathbb{Z}_2 global symmetry with the same ’t Hooft anomaly as K_3 is the same as a TQFT which has in the spectrum of quasi-particles a self-fermion ϵ which fuses with itself to the identity.

Our first observation is that a TQFT which has in the spectrum of quasi-particles a self-boson b which fuses with itself to the identity is automatically equipped with a non-anomalous 1-form \mathbb{Z}_2 global symmetry, whose generators are simply b worldlines. The operation of gauging the 1-form symmetry is the same as the operation of anyon condensation applied to b .

^hNotice that because of that, the correction $\int_X \eta \cup \beta$ may add an extra relative sign between terms of a 2 – 3 move, essentially controlled by the cup product between w_2 and a cochain concentrated on the “t” edge of the 4-simplex. That relative sign does not affect the 2 – 3 move with the standard branching structure, where the “t” edge has vertices (13) and does not contribute to a cup product. We expect that relative sign to be present in the pentagon relation for other choices of branching structure.

If we are given any two TQFTs with self-fermions ϵ and ϵ' respectively which fuse with itself to the identity, the product of the two theories has a self-boson $\epsilon\epsilon'$. This shows that if we take f and f' worldlines to be generators of 1-form \mathbb{Z}_2 global symmetries, the two \mathbb{Z}_2 global symmetries will have the same \mathbb{Z}_2 -valued 't Hooft anomaly.

That means we only need to compute the 't Hooft anomaly for some representative TQFT with a self fermion ϵ which fuses with itself to the identity. All other TQFTs with such a quasi-particle will have the same 't Hooft anomaly.

A simple choice of such a TQFT is the toric code, aka \mathbb{Z}_2 gauge theory. We can describe it by the discrete partition function,

$$(-1)^{\int_X a_1 \cup \delta b_1}, \quad (64)$$

with a_1 and b_1 being discrete cochains.

The e, m and ϵ quasi-particles correspond to Wilson loops for a_1 , b_1 and $a_1 + b_1$ respectively. The former two quasi-particles are generators of two non-anomalous 1-form \mathbb{Z}_2 symmetries.ⁱ For example, we can couple the theory to a \mathbb{Z}_2 2-cocycle β_2^ϵ as

$$(-1)^{\int_X a_1 \cup \delta b_1 + a_1 \cup \beta_2^\epsilon}. \quad (65)$$

The action is invariant under gauge transformations $\beta_2^\epsilon + \delta\lambda_1^\epsilon$, accompanied by $b_1 \rightarrow b_1 + \lambda_1^\epsilon$. Similarly, we can couple the theory to a \mathbb{Z}_2 2-cocycle β_2^m as

$$(-1)^{\int_X a_1 \cup \delta b_1 + \beta_2^m \cup b_1}. \quad (66)$$

The action is invariant under gauge transformations $\beta_2^m + \delta\lambda_1^m$, accompanied by $a_1 \rightarrow a_1 + \lambda_1^m$.

On the other hand, the 1-form \mathbb{Z}_2 symmetry associated to ϵ is encoded in the action,

$$(-1)^{\int_X a_1 \cup \delta b_1 + a_1 \cup \beta_2 + \beta_2 \cup b_1}. \quad (67)$$

Under gauge transformations $\beta_2 + \delta\lambda_1$, accompanied by $b_1 \rightarrow b_1 + \lambda_1$ and $a_1 \rightarrow a_1 + \lambda_1$, the action varies by

$$(-1)^{\int_X \lambda_1 \cup \delta\lambda_1 + \lambda_1 \cup \beta_2 + \beta_2 \cup \lambda_1}. \quad (68)$$

This is precisely the anomalous variation for K_3 ! This verifies our claim.

7.2. Examples of fermionic anyon condensation

We will come back to the toric code momentarily. Before that, we should give a simple examples of bosonic and fermionic theories related by fermionic anyon condensation.

Consider first a $U(1)_{4k}$ Chern-Simons theory. The Wilson loop of charge $2k$ fuses with itself to the identity. If k is even it is a self-boson b , while if k is odd it is a self-fermion ϵ . For even k , condensing b leads to a $U(1)_k$ CS theory. We expect the

ⁱThe two symmetries have a mixed anomaly.

same to occur for odd k , but then the resulting $U(1)_k$ CS theory is a spin-TQFT. This is consistent with the fact that this is a fermionic anyon condensation.

It is interesting to observe that the toric code has a \mathbb{Z}_2 global symmetry which exchange the e and m particles. This symmetry is rather hard to make explicit in a concrete description of the model. For example, the action we wrote above is not invariant under a \mathbb{Z}_2 symmetry transformation exchanging a_1 and b_1 :

$$(-1)^{\int_X a_1 \cup \delta b_1 + b_1 \cup \delta a_1} = (-1)^{\int_X \delta a_1 \cup \delta b_1} . \quad (69)$$

Assuming that the \mathbb{Z}_2 global symmetry is not broken by the fermionic condensation of ϵ , the resulting spin-TQFT should still enjoy the \mathbb{Z}_2 global symmetry. Indeed, there are good reasons to believe this is a fermionic SPT phase, the root fermionic SPT phase which is not captured by the super group cohomology classification. For example, we can consider the Hilbert space of the fermionic theory on a general Riemann surface (equipped with spin structure). Sectors with a non-trivial 2-form gauge field flux are described by a Riemann surface with a single ϵ insertion, which do not contribute ground states. It is quite reasonable to assume that the 2^g ground states with no β_2 background flux connection will be projected down to 2^g one-dimensional Hilbert spaces labelled by the choice of spin structure.

A \mathbb{Z}_2 -invariant boundary condition for the toric code has gapless modes: a non-chiral 2d Ising model coupled to the toric code in such a way that e ends on the spin operator $\sigma(z, \bar{z})$, m on the dual spin operator $\mu(z, \bar{z})$ and ϵ ends on chiral or anti-chiral fermion operators $\psi(z)$ and $\bar{\psi}(\bar{z})$, while the energy operator $\epsilon(z, \bar{z})$ can exist without a quasi-particle world line ending on it. The \mathbb{Z}_2 global symmetry is Kramer-Wannier duality.

After condensing the ϵ quasi-particle, the chiral or anti-chiral fermion operators $\psi(z)$ and $\bar{\psi}(\bar{z})$ in the boundary theory will be able to be inserted in correlation functions without a quasi-particle world line ending on it, Thus the boundary theory reduces to a theory of free Majorana fermions, one chiral and the other anti-chiral, with the \mathbb{Z}_2 global symmetry acting only on one of the two fermions. This is an the expected feature of the root fermionic SPT phase with \mathbb{Z}_2 global symmetry.

Another interesting example is the fermionic spin-TQFT “s-Ising” associated to the Ising 3d TQFT. The Ising TQFT has three quasi-particles: 1, σ and ϵ . In the presence of a boundary, these quasi-particles end on the corresponding operators of a chiral Ising model. We expect that upon condensing the ϵ quasi-particle, the corresponding chiral operator on the boundary, which is a free fermion operator, will be free to appear by itself. Thus the boundary theory should consist of a single chiral Majorana fermion, which can indeed be well-defined on a manifold equipped with a spin structure.

It is also interesting to look at the Hilbert space of s-Ising on Riemann surfaces of various genus, equipped with a spin structure. On a torus, the Ising TQFT has three states. Upon gauging the 1-form symmetry, we expect them to be projected to three one-dimensional Hilbert spaces labelled by the three even spin structures on the torus. As they have no β_2 flux on the Riemann surface, they should have even

fermion number. Upon gauging the 1-form symmetry we also gain twisted sectors with a non-zero flux of β_2 on the Riemann surface and odd fermion number, i.e., a single insertion of ϵ . On the torus, that gives an extra state (labelled by a loop of σ with an ϵ insertion), which we expect to give a one-dimensional Hilbert spaces labelled by the single odd spin structure on the torus, of odd fermion number.

On a genus 2 Riemann surface the Ising TQFT has 10 untwisted sectors, which should map to 10 one-dimensional Hilbert spaces labelled by the 10 even spin structures on the torus. We can also find 6 states for the Ising TQFT on a genus two surface with an extra ϵ insertion, which should map to 6 one-dimensional Hilbert spaces labelled by the 6 odd spin structures on the torus.

In general, we expect the s-Ising spin-TQFT to have one-dimensional spaces of ground states for every choice of spin structure on a Riemann surface, with even fermion number if the spin structure is even and odd if the spin structure is odd. In other words, If we compactly the s-Ising TQFT on a circle with Ramond boundary conditions, we should be left with a 2d theory which computes the Arf invariant of Riemann surfaces.

It is somewhat surprising to observe that the s-Ising spin-TQFT has one-dimensional spaces of ground states, and yet supports chiral edge modes and would likely not be considered a fermionic SPT phase. Indeed, the standard classification of fermionic SPT phases in $2 + 1$ dimensions with fermion number symmetry only predicts no non-trivial theories.

7.3. Bosonization in $2 + 1$ dimensions

The operation of fermionic condensation on the toric code is somewhat intriguing from the perspective of defining a notion of bosonization in $2 + 1$ dimensions. Suppose that we were given a version of the toric code lattice Hamiltonian with the property that creating fermionic quasi-particle excitations could be created at much lower energy cost than the e and m quasi-particles. The Hilbert space of the low-energy theory will be the even part of a fermionic Fock space, but the interactions will not be completely local: fermion bilinear operators would still have to be represented by open string operators, corresponding to an ϵ worldline connecting the two fermionic creation/destruction operators.

Suppose also that we could tensor that system with K_3 and gauge the diagonal 1-form \mathbb{Z}_2 symmetry. Then the ϵ worldline string operators, dressed by the self-fermion quasiparticles of K_3 , would become invisible in the gauged theory. This would liberate the endpoints of the open worldline string operators, which would behave as fermionic creation or destruction operators. The Hilbert space of the gauged low-energy theory should become a fermionic Fock space, which one could use to simulate some general fermionic Hamiltonian in a local way.

It would be interesting to make this recipe more precise. Ideally, one should find a lattice Hamiltonian description of the toric code which both allows the fermion quasiparticles to proliferate and which can be coupled appropriately to a β_2 cocycle.

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Appendix A. The Gu–Wen Grassmann Integral in 2d as a Quadratic Refinement

Notice that we can depict β as a collection of closed, disjointed paths C_a in the dual graph to the triangulation, sequences of edges e_i^a with $\beta(e_i^a) = 1$ sharing a common triangle $t_{i \pm \frac{1}{2}}^a$ with the previous and next edges in the path. We can order the monomials in sequences along each path, and factorize the Grassmann integral as

$$\sigma(\beta) = \prod_a \left[\int \prod_i d\theta_{e_i^a} d\bar{\theta}_{e_i^a} \prod_i u(t_{i-\frac{1}{2}}^a) \right], \quad (\text{A.1})$$

where we ordered the integrand in one direction and the measure in the opposite direction. We assumed that $\epsilon[t, \beta]$ is 1 unless a triangle is crossed by some path C_a .

For each path C_a , denote as c_i^a the sequence of Grassmann variables encountered along the path. We have

$$\int \prod_i dc_{2i+1}^a dc_{2i}^a \prod_i c_{2i+1}^a c_{2i+2}^a = -1, \quad (\text{A.2})$$

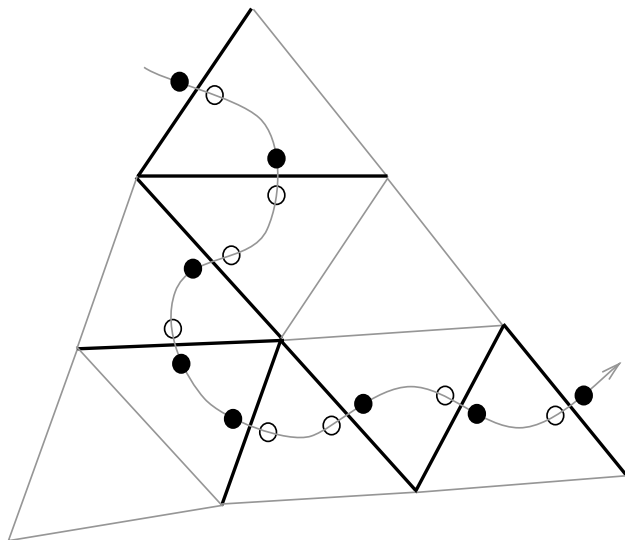
where ℓ_a is the length of C_a .

The integration variables in $\prod_i d\theta_{e_i^a} d\bar{\theta}_{e_i^a}$ are encountered almost in the order $\prod_i dc_{2i+1}^a dc_{2i}^a$, up to permutations of two variables associated to the same edge. Similarly, the integration variables are encountered in the product $\prod_i u(t_{i-\frac{1}{2}}^a)$ almost in the same order as $\prod_i c_{2i+1}^a c_{2i+2}^a$, up to permutations of two variables associated to the same triangle.

Thus the overall sign of the integral can be computed by multiplying a sign for each edge and each triangle along C_a :

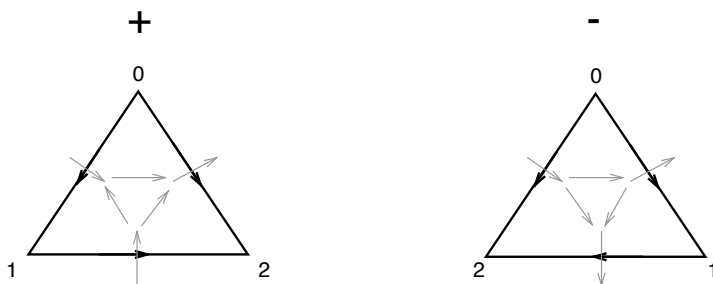
$$\sigma(\beta) = \prod_a \left[- \prod_i \epsilon_1[e_i^a] \prod_i \epsilon_2[t_{i-\frac{1}{2}}^a] \right], \quad (\text{A.3})$$

where the edge sign ϵ_1 is 1 if the edge orientation points to the right of C_a , -1 if it points to the left of C_a , while the triangle sign ϵ_2 is 1 if both edges of the triangle crossed by C_a point to the right of C_a , -1 if both point to the left, while if they



point in opposite directions the sign is 1 if C_a is turning left at the triangle, -1 if turning right.

It is useful to combine the sign associated with crossing an edge to the sign associated to transversing the subsequent triangle. The resulting sign is almost always $+1$, except when we cross a 01 edge and turn towards a 12 edge. See Figs. A2 and A3.



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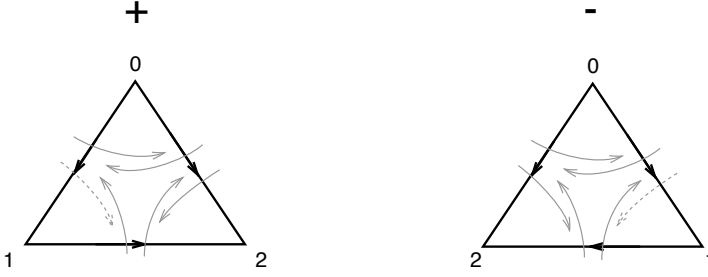


Fig. A3. As a path C proceeds along the triangulation from just before crossing an edge to just before crossing the next, it accumulates a sign as indicated in this figure: $+1$ in alms all cases, except the ones indicated by a dashed line.

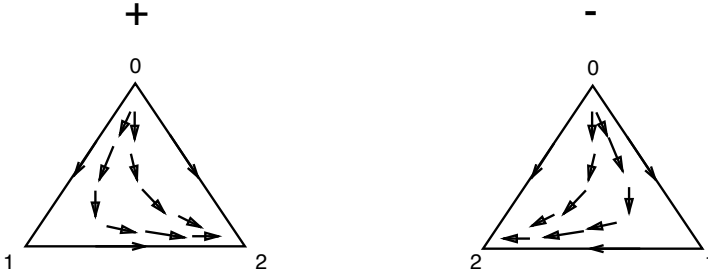


Fig. A4. A useful reference vector field V inside a triangle equipped with branching structure.

There is a simple interpretation of such sign. Consider a certain canonical vector field V inside each triangle, nonzero away from the vertices and continuous across the edges, which flows out of the vertex 0 and into the vertex 2, turning around near the vertex 1. This can be thought of as a continuation inside the triangle of the branching structure directions, as in Fig. A4.

Given a smooth, non-self-intersecting path C in the triangulation, which does not pass through the vertices, we can measure how many times the vector field V restricted to C winds around compared to the tangent vector of C . We can measure such winding number $w(V, C)$, modulo 2, by counting how many times the vector field V becomes tangent to the path C . Inspection of the sign rule of A3, shows that the overall sign accumulated by C is $(-1)^{w(V, C)+1}$.

Thus we conclude that

$$\sigma(\beta) = \prod_a (-1)^{w(V, C_a)+1}, \quad (\text{A.4})$$

if β is represented by the collection of non-intersecting paths C_a .

The sign $\sigma(\beta)$ does depend on the choice of cochain β in a given cohomology class: if we sweep some path C across a vertex v of the triangulation, the winding

number $w(V, C)$ will generically jump. It is natural to expect, and it should be easy to show, that the jump modulo 2 will be the same as the contribution of that vertex v to the set S of vertices which contribute to Z_m , i.e., 1 plus the number of times v is the vertex 1 of a “-” triangle.

Indeed, that would insure that

$$\sigma(\beta + \delta\lambda) = \sigma(\beta) \prod_{v \in S} (-1)^{\lambda(v)}, \quad (\text{A.5})$$

so that the variation of $Z_\theta = \sigma(n)$ cancels against the variation of Z_m under $m_1 \rightarrow m_1 + \lambda$.

This fact is clearly true if the triangulation is a barycentric subdivision BT : the 0 vertices are sources, the 1 vertices simple saddles and the 2 vertices are sinks. All these configurations are associated to a jump of the winding number by one unit.

The combination,

$$(-1)^{q_E(\beta)} = \sigma(\beta) \prod_{e \in E} (-1)^{\beta(e)}, \quad (\text{A.6})$$

is a known expression for the quadratic refinement of the intersection form on \mathbb{Z}_2 1-cocycles on a surface.²³ Besides transforming in the obvious way under changes of spin structure E , it satisfies

$$q_E(\beta + \beta') = q_E(\beta) + q_E(\beta') + \int \beta \cup \beta'. \quad (\text{A.7})$$

Thus

$$Z_n^E[BT] Z_\theta[BT] = (-1)^{q_E(n_1)}. \quad (\text{A.8})$$

If we have a generic triangulation, we can still try to assemble a smooth vector field V and look at the zeroes of V , with appropriate multiplicity, as a representative chain S for w_2 . In general, we expect solutions of $\partial E = S$ to still describe a choice of spin structure on the discretized surface. One may interpret measuring the winding numbers $w(V, C)$ modulo 2, corrected by the pairing with E , as a way to build a spin bundle on the triangulated surface.

Appendix B. Higher Cup Products

As described in the introduction, higher cup products satisfy the recursive property

$$A \cup_a B + B \cup_a A = \delta(A \cup_{a+1} B) + \delta A \cup_{a+1} B + A \cup_{a+1} \delta B, \quad (\text{B.1})$$

with $\cup_0 \equiv \cup$.

There is a rather explicit canonical formula for the higher cup products.²⁴

$$\begin{aligned} & [A_p \cup_a B_q](0, \dots, p+q) \\ &= \sum_{i_0 < \dots < i_a} A(0, \dots, i_0, i_1, \dots, i_2, i_3, \dots) B(i_0, \dots, i_1, i_2, \dots, i_3, i_4, \dots), \end{aligned} \quad (\text{B.2})$$

where the sequences of arguments of A_p and B_q must agree with the degree p, q of A_p and B_q .

For example, we recover the standard cup product:

$$[A_p \cup B_q](0, \dots, p+q) = A(0, \dots, p)B(p, \dots, p+q). \quad (\text{B.3})$$

The next cup products are

$$\begin{aligned} [A_p \cup_1 B_q](0, \dots, p+q-1) \\ = \sum_{i_0} A(0, \dots, i_0, i_0+q, p+q-1)B(i_0, \dots, i_0+q) \end{aligned} \quad (\text{B.4})$$

$$\begin{aligned} [A_p \cup_2 B_q](0, \dots, p+q-2) \\ = \sum_{i_0 < i_1} A(0, \dots, i_0, i_1, \dots, p+i_1-i_0-1) \\ \cdot B(i_0, \dots, i_1, p+i_1-i_0-1, \dots, p+q-2). \end{aligned} \quad (\text{B.5})$$

and so on.

Appendix C. Super-Fusion Categories

A category \mathcal{C} enriched over $\text{Vect}_{\mathbf{k}}$ (the category of finite-dimensional vector spaces over a field \mathbf{k}) is a category whose morphism sets $\text{Hom}(A, B)$ are vector spaces over \mathbf{k} , and the composition of morphisms is bilinear. It can be thought of as a generalization of the notion of an algebra over a field (“algebra with many objects”). Similarly, a category \mathcal{C} enriched over $\text{sVect}_{\mathbf{k}}$ (the category of \mathbb{Z}_2 -graded vector spaces over a field \mathbf{k}) is a category whose morphism sets $\text{Hom}(A, B)$ are \mathbb{Z}_2 -graded vector spaces over \mathbf{k} , and the composition of morphisms is bilinear and is compatible with the grading. Such a category \mathcal{C} can be thought of a generalization of the notion of a \mathbb{Z}_2 -graded algebra over a field. In what follows we will suppress \mathbf{k} (in physical applications one typically has $\mathbf{k} = \mathbb{C}$).

An important difference between ordinary algebras and \mathbb{Z}_2 -graded algebras is the way the tensor product of algebras is defined. For ordinary algebras, the product of algebras C and D is an algebra whose underlying set is $C \otimes D$ and the multiplication is defined by

$$(c \otimes d) \cdot (c' \otimes d') = cc' \otimes dd', \quad c, c' \in C, \quad d, d' \in D.$$

On the other hand, for \mathbb{Z}_2 -graded algebras one defines the multiplication by

$$(c \otimes d) \cdot (c' \otimes d') = (-1)^{\epsilon(c')\epsilon(d)}(c \cdot c') \otimes (d \cdot d'), \quad c, c' \in C, \quad d, d' \in D,$$

where $\epsilon(a) \in \mathbb{Z}_2$ is the fermionic parity of $a \in C$. This is the Koszul sign rule. This has an analog for categories: the Cartesian product of categories over sVect is defined differently from the Cartesian product of categories over Vect . In the former

case, if C, C', C'' and D, D', D'' are objects of \mathcal{C} and \mathcal{D} respectively, the composition of morphisms in $\mathcal{C} \times \mathcal{D}$ is defined as follows:

$$\begin{aligned}(\alpha \otimes \beta) \cdot (\gamma \otimes \delta) &= (-1)^{\epsilon(\gamma)\epsilon(\beta)}(\alpha \cdot \gamma) \otimes (\beta \cdot \delta), \\ \alpha &\in \text{Hom}_{\mathcal{C}}(C', C''), \gamma \in \text{Hom}_{\mathcal{C}}(C, C'), \\ \beta &\in \text{Hom}_{\mathcal{D}}(D', D''), \delta \in \text{Hom}_{\mathcal{D}}(D, D').\end{aligned}\tag{C.1}$$

while in the latter case the sign factor on the r.h.s. is absent.^j

This in turn has consequences for how a tensor product on a category is defined. Both in the ordinary and the \mathbb{Z}_2 -graded case, a tensor product on \mathcal{C} is a functor m from $\mathcal{C} \times \mathcal{C}$ to \mathcal{C} , $m : (X, Y) \mapsto X \otimes Y$, $\forall X, Y \in \text{Ob}(\mathcal{C})$, together with an associator. The associator is a natural isomorphism between two functors from $\mathcal{C} \times \mathcal{C} \times \mathcal{C}$ to \mathcal{C} built from m . The first one is $m(m(-, -), -)$ and the second one is $m(-, m(-, -))$. The associator must satisfy the pentagon equation. Since the definition of the Cartesian product depends on whether we consider categories over Vect or sVect , so does the definition of the tensor structure.

Let us specialize to the case of (multi)-fusion categories. Multi-fusion categories are rigid (and in particular semi-simple) monoidal categories (categories with a tensor product and a unit object) over Vect or sVect which have finitely many isomorphism classes of simple objects. To emphasize the difference between the two cases, we will refer to multi-fusion categories over sVect as super-multi-fusion categories, while multi-fusion categories over Vect will be simply called multi-fusion categories. While multi-fusion categories are module categories over Vect , super-multi-fusion categories are module categories over sVect .

Let \mathcal{C} be a super-multi-fusion category. For every object $X \in \text{Ob}(\mathcal{C})$ we have a \mathbb{Z}_2 -graded algebra $\text{Hom}_{\mathcal{C}}(X, X)$. If X is simple, this algebra must be a division algebra, therefore it is isomorphic either to \mathbb{C} or $\text{Cl}(1)$. In the former case we will say that X is a bosonic simple object, while in the latter case we will say that X is a Majorana simple object.

A super-fusion category is a super-multi-fusion category whose unit object $\mathbf{1}$ is simple. Since $\mathbf{1} \otimes \mathbf{1} \simeq \mathbf{1}$, this implies that $\text{Hom}(\mathbf{1}, \mathbf{1}) = \mathbb{C}$, i.e., $\mathbf{1}$ is a bosonic object.

Since a super-fusion category is rigid, for every object V we have a dual object V^* , and even morphisms $\text{ev}_L : V^* \otimes V \rightarrow \mathbf{1}$, $\text{coev}_L : \mathbf{1} \rightarrow V \otimes V^*$, $\text{ev}_R : V \otimes V^* \rightarrow \mathbf{1}$ and $\text{coev}_R : \mathbf{1} \rightarrow V^* \otimes V$ satisfying the usual identities. One can show²¹ that V^{**} is isomorphic to V . A pivotal structure on a super-fusion category is a choice of such isomorphisms for all V in a way compatible with the tensor product. Given a pivotal structure, one can define the left and right dimensions of V by composing coev_L and ev_R or coev_R and ev_L . The left and right dimensions are complex numbers. If the left and right dimensions are equal for all V , one says that the pivotal structure is spherical. A spherical super-fusion category is a super-fusion category equipped with a spherical pivotal structure.

^jMore generally, one can consider categories enriched over a symmetric tensor category. The definition of Cartesian product for such categories depends on the symmetric tensor category.

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